

## On Convergent Interpolatory Polynomials

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*Communicated by Oved Shisha*

Received December 2, 1987

Let

$$X_n: -1 \leq x_{nm} < x_{n-1,n} < \dots < x_{1n} \leq 1 \quad (n = 1, 2, \dots) \quad (1)$$

be a system of nodes of interpolation. We are interested in finding necessary and sufficient conditions on (1) in order that for every  $f(x) \in C[-1, 1]$  and  $\varepsilon > 0$  there exist polynomials  $p_n(x) \in \Pi_{[n(1+\varepsilon)]}$  such that

$$p_n(x_{kn}) = f(x_{kn}) \quad (k = 1, \dots, n; n = 1, 2, \dots) \quad (2)$$

and

$$\lim_{n \rightarrow \infty} \|f(x) - p_n(x)\| = 0. \quad (3)$$

Here  $\Pi_m$  is the set of algebraic polynomials of degree at most  $m$ ,  $C[-1, 1]$  is the space of continuous functions on the interval  $[-1, 1]$ , and  $\|\cdot\|$  is the maximum (over  $[-1, 1]$ ) norm.

Let  $x_{kn} = \cos t_{kn}$ ,  $0 \leq t_{1n} < t_{2n} < \dots < t_{nn} \leq \pi$ , and for an arbitrary interval  $I \subseteq [0, \pi]$ , denote

$$N_n(I) = \sum_{t_{kn} \in I} 1.$$

In this paper we shall prove the following

**THEOREM.** *For every  $f(x) \in C[-1, 1]$  and  $\varepsilon > 0$  there exists a sequence of polynomials  $p_n(x) \in \Pi_{[n(1+\varepsilon)]}$  such that (2) and*

$$\|f(x) - p_n(x)\| = O(E_{[n(1+\varepsilon)]}(f)) \quad (4)$$

\* The research of these authors was supported by the Hungarian National Foundation for Scientific Research, Grant 1801.

hold, if and only if

$$\overline{\lim}_{n \rightarrow \infty} \frac{N_n(I_n)}{n|I_n|} \leq \frac{1}{\pi} \quad \text{whenever} \quad \lim_{n \rightarrow \infty} n|I_n| = \infty \quad (|I_n| = \text{length of } I_n) \quad (5)$$

and

$$\underline{\lim}_{n \rightarrow \infty} \min_{1 \leq i \leq n-1} n(t_{i+1,n} - t_{i,n}) > 0. \quad (6)$$

Here the  $O$  sign refers to  $n \rightarrow \infty$  and indicates a constant depending only on  $\varepsilon$ ;  $E_m(f)$  is the best uniform approximation of  $f(x)$  by polynomials of degree at most  $n$ .

This theorem, in a slightly weaker form ((4) replaced by (3)) was stated in [1, Theorem 4]. There was no proof given, only an indication that it is a simple modification of the proof of Theorem 3. While we were unable to reconstruct this "simple modification" (it was probably not that simple at all), we found a proof which we think worthwhile to publish, since the above theorem is a fundamental and frequently quoted result of the theory of interpolation.

The proof is long and sophisticated, and in order to make it more understandable we break it into a series of lemmas. First we aim at the sufficiency of conditions (5)–(6).

LEMMA 1. *Under conditions (5), (6) for any  $\varepsilon > 0$  there exists a system of nodes (in not necessarily decreasing order)*

$$\begin{aligned} Y_n : y_k &= y_{kn} = \cos \eta_k, \\ \eta_k &= \eta_{km} = \frac{2k-1 + d_k}{m} \frac{\pi}{2}, \\ k &= 1, \dots, m = [n(1 + \varepsilon)]; n \geq n_0 \end{aligned} \quad (7)$$

such that

- (a) the  $x_i$ 's are among the  $y_k$ 's;
- (b)  $n(\eta_{k+1} - \eta_k) \geq c > 0$  ( $k = 1, \dots, m; n \geq n_0$ ) with an absolute constant  $c$ , and
- (c)  $|\sum_{k=1}^s d_k| \leq A$  ( $s = 1, \dots, m$ ) with a constant  $A = A(\varepsilon)$ .

*Proof.* Condition (5) implies that for any  $\varepsilon > 0$ , there exist  $\Delta(\varepsilon)$  and  $n_0(\varepsilon)$  such that

$$\frac{N_n(I)}{n|I|} \leq \frac{1}{\pi} + \varepsilon \quad \text{whenever} \quad n(I) \geq \Delta(\varepsilon) \quad \text{and} \quad n \geq n_0(\varepsilon). \quad (8)$$

Let

$$\Delta = \max \left( \Delta \left( \frac{\varepsilon}{4} \right), \frac{30}{\varepsilon} \right)$$

and consider the intervals

$$J_i = \left[ \frac{i\Delta}{n}, \frac{(i+1)\Delta}{n} \right) \quad \left( i = 0, \dots, \left[ \frac{\pi n}{\Delta} \right] - 1 \right).$$

By (8) and  $n|J_i| = \Delta$ ,

$$N_n(J_i) \leq \left( \frac{1}{\pi} + \frac{\varepsilon}{4} \right) \Delta \quad \left( i = 0, \dots, \left[ \frac{\pi n}{\Delta} \right] - 1 \right).$$

The number of equidistant nodes

$$\theta_k = \frac{2k-1}{m+1} \frac{\pi}{2} \quad (k = 1, \dots, m+1)$$

in  $J_i$  is  $\geq (\Delta(m+1))/\pi n > (\Delta/\pi)(1+\varepsilon)$ , i.e., at least  $\Delta\varepsilon(1/\pi - 1/4) > 3$  more than  $N_n(J_i)$ .

We shall construct the  $\eta_k$ 's in two phases. In the first phase, in each  $J_i$  where at least one  $t_k$  occurs, replace the  $\theta_j$ 's by these  $t_k$ 's, and leave the remaining  $\theta_j$ 's unchanged. According to the previous argument, there is at least one such unchanged  $\theta_j$  in each  $J_i$  (call them free nodes). This system fulfils so far only (a). We would like to ensure (b). By (6) we may assume that

$$t_{i+1} - t_i \geq \frac{c}{n} \quad (c < 1, i = 1, \dots, n-1). \quad (9)$$

Consider those remaining  $\theta_j$ 's for which there exists a  $t_i$  such that

$$0 < |\theta_j - t_i| \leq \frac{c}{7n}, \quad (10)$$

and move these  $\theta_j$ 's alternatively to the left or to the right with a distance  $2c/(7n)$ . Then these translated  $\theta_k$ 's will be farther than  $c/(7n)$  from any  $t_i$

(see (9)), and the distance of adjacent new  $\theta_j$ 's will be at least  $\pi/(m+1) - 4c/(7n) > (\pi/2 - 4/7)(1/n)$ . Thus the change in the contribution of the  $d_k$ 's will be  $O(1)$ , and (b) is satisfied. After completing these steps, at least one free node remains in each  $J_i$ .

In the second phase we want to ensure (c) by further modifications. Divide consecutive  $J_i$ 's into groups of  $10\Delta$  members. In each  $J_i$ , the maximal contribution of  $d_k$ 's is  $< (1/\pi + \varepsilon/4)\Delta \cdot 2(1 + \varepsilon)\Delta/\pi < \Delta^2$  (we may assume that  $\varepsilon < 1$ ); thus for the whole group it is  $< 10\Delta^3$ . We would like to arrive at a situation where the *total* contribution of  $d_k$ 's at the end of each group is  $< 10\Delta^3$ . We proceed by induction on the number of groups. As we have seen, in the first group the contribution is  $< 10\Delta^3$ . Assume that the total contribution of the first  $a-1$  groups is  $< 10\Delta^3$ , and, without loss of generality we may assume that this contribution is nonnegative. By proper changes, we would like to have a contribution in the  $a$ th group between  $-10\Delta^3$  and 0, thus ensuring a total contribution in the first  $a$  groups between  $-10\Delta^3$  and  $10\Delta^3$ . In the  $a$ th group, the total contribution is between  $-10\Delta^3$  and  $10\Delta^3$ . If it is negative, we are done. Thus assume that it is between 0 and  $10\Delta^3$ , and omit a free node from the  $(5\Delta + 2)$ nd  $J_i$  and replace it by the midpoint of any two adjacent nodes in the  $(5\Delta - 2)$ nd  $J_i$ . The result is a decrease of at least  $2 \cdot 2(1 + \varepsilon)\Delta/\pi$  and at most  $4 \cdot 2(1 + \varepsilon)\Delta/\pi$  in the contribution of the  $d_k$ 's in the  $a$ th group. If this change transforms this contribution below zero, then we are done. If not, then omit a free node from the  $(5\Delta + 3)$ rd  $J_i$  and replace it by the midpoint of any two adjacent nodes in the  $(5\Delta - 3)$ rd  $J_i$ . The result is another decrease of at least  $4 \cdot 2(1 + \varepsilon)\Delta/\pi$  and at most  $6 \cdot 2(1 + \varepsilon)\Delta/\pi$  in the contribution of the  $d_k$ 's in the  $a$ th group. If this second change transforms this contribution below zero, then we are done; otherwise continue this procedure with the  $(5\Delta + 4)$ th and  $(5\Delta - 4)$ th  $J_i$ 's, etc. Before exhausting all the possibilities we must arrive at the desired situation, because the decrease of the contribution in the  $a$ th group after all the possible changes would be at least

$$(2 + 4 + \dots + 10\Delta - 2)(1 + \varepsilon)\Delta/\pi > \frac{2\Delta}{\pi} 5\Delta(5\Delta - 1) > \frac{40\Delta^3}{\pi}$$

which is greater than  $10\Delta^3$ , the original maximal contribution in the  $a$ th group. (Even if we needed the last change here, its maximal contribution is  $< 10\Delta \cdot 2(1 + \varepsilon)\Delta/\pi < 13\Delta^2 < 10\Delta^3$ , so we never get under  $-10\Delta^3$ .)

After making all these changes in each group, we arrive at a situation where the total contribution of the  $d_k$ 's at the last  $J_i$  in a group will be  $< 10\Delta^3$ . But it is clear from the previous argument that  $|d_k| < 13\Delta^2$ , and since the number of  $d_k$ 's in a group is  $< 10\Delta \cdot (\Delta(1 + \varepsilon)/\pi) + 5\Delta < 12\Delta^2$ , the

contribution *inside* a group cannot be higher than  $13\Delta^2 \cdot 12\Delta^2$ , i.e., bounded again. Thus Lemma 1 is completely proved. ■

LEMMA 2. *For the fundamental functions of Lagrange interpolation based on the nodes (7) we have*

$$\|l_j(Y_m, x)\| = O(1) \quad (k = 1, \dots, m).$$

*Proof.* Let

$$\begin{aligned} Z_m : z_k &= \cos \frac{2k-1}{2m} \pi \quad (k = 1, \dots, m); \\ T_m(x) &= \prod_{k=1}^m (x - z_k), \\ \Omega_m(x) &= \prod_{k=1}^m (x - y_k). \end{aligned} \tag{11}$$

Then for a fixed  $k$ , the number  $v_k$  of  $y_i$ 's for which  $\text{sgn}(y_k - y_i) = \text{sgn}(k - i)$  is evidently  $v_k = o(1)$ , and thus denoting  $A_k = \{i \mid \text{sgn}(y_k - y_i) = \text{sgn}(k - i)\}$ ,  $B_k = \{1, \dots, m\} \setminus A_k$  we have

$$\begin{aligned} \left| \frac{T'_m(z_k)}{\Omega'(y_k)} \right| &= \prod_{i \in A_k} \frac{z_k - z_i}{y_i - y_k} \prod_{i \in B_k} \frac{z_k - z_i}{y_k - y_i} \\ &= O(1) \prod_{i \in B_k} \left( 1 + \frac{z_k - y_k + y_i - z_i}{y_k - y_i} \right) \\ &= O(1) \exp \sum_{i \in B_k} \frac{z_k - y_k + y_i - z_i}{y_k - y_i} \\ &= O(1) \exp \sum_{i \neq k} \frac{z_k - y_k + y_i - z_i}{y_k - y_i}. \end{aligned}$$

Here, using  $|d_k| = O(1)$  (see Lemma 1(c)), we get for  $1 \leq k \leq m/2$

$$\begin{aligned} &|z_k - y_k| \sum_{i \neq k} \frac{1}{y_k - y_i} \\ &= O\left(\frac{k|d_k|}{m^2}\right) \left\{ \left| \sum_{i \neq k} \frac{1}{z_i - z_k} \right| + \sum_{i \neq k} \left| \frac{y_k - z_k + z_i - y_i}{(z_k - z_i)(y_k - y_i)} \right| \right\} \\ &= O\left(\frac{k}{m^2}\right) \left\{ \left| \frac{T''_m(z_k)}{T'_m(z_k)} \right| + \sum_{i \neq k} \frac{(k|d_k|/m^2) + (i|d_i|/m^2)}{((k-i)^2 \min(k+i, m/2)^2)/m^4} \right\} \\ &= O\left(\frac{k}{m^2}\right) \left\{ \frac{m^2}{k^2} + \frac{m^2}{k} \sum_{i \neq k} \frac{1}{(k-i)^2} \right\} = O(1), \end{aligned}$$

and using Abel's transform

$$\begin{aligned}
 \left| \sum_{i \neq k} \frac{z_i - y_i}{y_k - y_i} \right| &= \left| \sum_{i \neq k} \frac{2 \sin(d_i \pi/4m) \sin((4i - 2 + d_i)/4m) \pi}{y_k - y_i} \right| \\
 &= \left| \sum_{i \neq k} \frac{(d_i \pi/2m) \sin((4i - 2 + d_i)/4m) \pi + O(m^{-3})}{y_k - y_i} \right| \\
 &= O \left\{ \frac{1}{m} \sum_{i \neq k, k+1} \left( \frac{\sin((4i + 2 + d_i)/4m) \pi}{y_k - y_{i+1}} \right. \right. \\
 &\quad \left. \left. - \frac{\sin((4i - 2 + d_i)/4m) \pi}{y_k - y_i} \right) \sum_{j=1}^i d_j \right\} + O(1) \\
 &= O \left( \frac{1}{m} \right) \cdot \sum_{i \neq k, k+1} \left( \frac{(i/m) |y_i - y_{i+1}|}{|y_k - y_{i+1}| \cdot |y_k - y_i|} + \frac{i/m^2}{|y_k - y_i|} \right) + O(1) \\
 &= O \left( \frac{1}{m} \right) \sum_{i \neq k} \left( \frac{i^2/m^3}{(k^2 - i^2)^2/m^4} + \frac{i/m^2}{|k^2 - i^2|/m^2} \right) + O(1) \\
 &= O \left( \sum_{i \neq k} \frac{1}{(k - i)^2} + \frac{1}{m} \sum_{i \neq k} \frac{1}{|k - i|} + 1 \right) = O(1),
 \end{aligned}$$

and similarly for  $m/2 \leq k \leq m$ . Hence

$$|T'_m(z_k)| = O(|\Omega'_m(y_k)|) \quad (k = 1, \dots, m). \tag{12}$$

Now let  $|x| \leq 1$  be arbitrary and  $0 \leq j \leq m$  be such that  $z_{j+1} \leq x \leq z_j$  (we take  $z_0 = 1$  and  $z_{m+1} = -1$ ). Then similarly as before, denoting  $u \in (z_{j+1}, z_j)$  for which  $T_m(u)$  is a local maximum, the number  $v(x)$  of  $i$ 's for which  $\text{sgn}((x - y_i)/(u - z_i)) = -1$  is evidently  $v(x) = O(1)$ . Hence

$$\begin{aligned}
 \left| \prod_{i \neq k} \frac{x - y_i}{u - z_i} \right| &= \prod_{\text{sgn}((x - y_i)/(u - z_i)) = -1} \left| \frac{x - y_i}{u - z_i} \right| \\
 &\quad \times \prod_{\text{sgn}((x - y_i)/(u - z_i)) \geq 0} \left( 1 + \frac{x - u + z_i - y_i}{u - z_i} \right) \\
 &= O(1) \exp \sum_{\text{sgn}((x - y_i)/(u - z_i)) \geq 0} \frac{x - u + z_i - y_i}{u - z_i} \\
 &= O(1) \exp \left\{ |x - u| \left( \left| \frac{T'_m(u)}{T_m(u)} \right| + \sum_{\text{sgn}((x - y_i)/(u - z_i)) = -1} \frac{1}{|u - z_i|} \right) \right. \\
 &\quad \left. + \sum_{i \neq j} \frac{i/m^2}{|j^2 - i^2|/m^2} \right\} \\
 &= O(1) \exp O \left\{ \frac{j}{m^2} \left( \frac{m^2}{j} + v(x) \cdot \frac{m^2}{j} \right) + \frac{1}{j} \right\} = O(1).
 \end{aligned}$$

Thus using (12) we get

$$\left| \frac{l_k(Y_m, x)}{l_k(Z_m, u)} \right| = \left| \frac{T'_m(z_k)}{\Omega'_m(y_k)} \prod_{i \neq k} \frac{x - y_i}{u - z_i} \right| = O(1) \quad (k = 1, \dots, m);$$

i.e., using Fejér's result  $\|l_k(z_m, u)\| \leq \sqrt{2}$  ( $k = 1, \dots, m$ ) we get the statement of the lemma. ■

After these preliminaries, the sufficiency of conditions (5), (6) is easily proved. Let  $s = [n\varepsilon/3]$ , and apply Lemma 1 with  $\varepsilon/3$  instead of  $\varepsilon$ ; then  $m = [n(1 + \varepsilon/3)]$ . Let  $g(x) \in \Pi_{[n(1 + \varepsilon)]}$  be the best approximating polynomial of  $f(x)$ . Consider

$$p_n(x) = q(x) + \sum_{j=0}^s \left\{ \sum_{z_{j+1}, s < y_k \leq z_{j,s}} \frac{(f(x_k) - q(x_k)) l_k(Y_m, x)}{\{l_j(Z_s, y_k) + l_{j+1}(Z_s, y_k)\}^2} \right. \\ \left. \times \{l_j(Z_s, x) + l_{j+1}(Z_s, x)\}^2 \right\}.$$

Since by the well-known Erdős–Turán result [2, Lemma IV]

$$l_j(Z_s, y_k) + l_{j+1}(Z_s, y_k) \geq 1 \quad (z_{j+1} < y_k \leq z_j), \quad (13)$$

the definition of  $p_n(x)$  makes sense. Now

$$\deg p_n \leq m - 1 + 2(s - 1) < n \left( 1 + \frac{\varepsilon}{3} \right) + \frac{2n\varepsilon}{3} = n(1 + \varepsilon),$$

and evidently

$$p_n(y_i) = f(y_i) \quad (i = 1, \dots, m).$$

This proves (2), since by Lemma 1(a) the  $x_k$ 's are among the  $y_i$ 's. By the definition of  $q(x)$ , (13), Lemma 2, and the inequality  $(a + b)^2 \leq 2(a^2 + b^2)$  we get

$$\|f(x) - p_n(x)\| \leq \|f(x) - q(x)\| \left\{ 1 + O \left[ \left\| \sum_{j=0}^s l_j(Z_s, x)^2 \sum_{z_{j+1} < y_k \leq z_j} 1 \right\| \right] \right\} \\ = O(E_{[n(1 + \varepsilon)]}(f)) \left\| \sum_{j=0}^s l_j(Z_s, x)^2 \right\|,$$

since by Lemma 1(b),  $\sum_{z_{j+1} < y_k \leq z_j} 1 = O(1)$ . But here again by Fejér's result

$$\left\| \sum_{j=0}^s l_j(Z_s, x)^2 \right\| \leq 2$$

and thus (4) is also proved.

To prove the necessity of (6), assume that there exists a sequence  $i_1 < i_2 < \dots$  such that

$$\lim_{n \rightarrow \infty} n(t_{i_n+1,n} - t_{i_n,n}) = 0.$$

Hence passing to monotone subsequences (if necessary), there exists a  $t \in [0, \pi]$  such that

$$\lim_{n \rightarrow \infty} t_{i_n,n} = t, \quad t_{i_n+1,n} - t_{i_n,n} \leq \frac{\varepsilon_n}{n}, \quad \lim_{n \rightarrow \infty} \varepsilon_n = 0, \quad (14)$$

and the sequences  $\{t_{i_n,n}\}$  and  $\{t_{i_n+1,n}\}$  have no points in common. Also, we may assume that at least one of these sequences, say  $\{t_{i_n,n}\}$ , is strictly monotone. Then define

$$f(t_{i_n,n}) = 0, \quad f(t_{i_n+1,n}) = \sqrt{\varepsilon_n},$$

and  $f$  is continuous and linear between these nodes. Because of (14), this defines an  $f(x) \in C[-1, 1]$ . By (2) and the Bernstein inequality

$$\begin{aligned} \frac{n}{\sqrt{\varepsilon_n}} &\leq \frac{f(\cos t_{i_n+1,n}) - f(\cos t_{i_n,n})}{t_{i_n+1,n} - t_{i_n,n}} \\ &= \frac{p_n(\cos t_{i_n+1,n}) - p_n(\cos t_{i_n,n})}{t_{i_n+1,n} - t_{i_n,n}} \\ &= \frac{d}{dt} p_n(\cos t) \Big|_{t=\zeta} = O(n) \|p_n\| \quad (\zeta \in (t_{i_n,n}, t_{i_n+1,n})), \end{aligned}$$

i.e.,  $\|p_n\| \geq 1/\sqrt{\varepsilon_n} \rightarrow \infty$  as  $n \rightarrow \infty$ , which shows that (4) cannot hold. Hence (6) is necessary.

The proof of the necessity of (5) is more difficult. First we prove the following.

LEMMA 3. Let  $I_n \subset [-\pi, \pi]$  ( $n \in \mathbb{N}$ ) and let  $t_n$  be a sequence of trigonometric polynomials of order at most  $r_n$  such that  $r_n |I_n| \rightarrow \infty$  and  $\|t_n\| \leq M$  ( $n \in \mathbb{N}$ ) ( $r_n \uparrow \infty$ ). Denote by  $Q(I_n)$  the number of  $+1, -1, +1, \dots$  oscillations of  $t_n$  on  $I_n$ . Then

$$\overline{\lim}_{n \rightarrow \infty} \frac{Q(I_n)}{r_n |I_n|} \leq \frac{1}{\pi}.$$

*Proof.* Assume to the contrary that  $Q(I_n)/r_n |I_n| > (1 + \delta)/\pi$  for some  $\delta > 0$  and  $n \in \Omega$  ( $\Omega \subset \mathbb{N}$  infinite), where we may take  $I_n(-a_n, a_n)$  and  $0 < a_n < \pi - 2\delta_1$ . Let now  $s_n$  be an even integer such that



$\sqrt{r_n a_n} < s_n < 2\sqrt{r_n a_n}$  and let  $\varepsilon_n = \pi M a_n / (s_n \sin \delta_1)$ . Consider the trigonometric polynomial

$$u_n(x) = t_n(x) + \frac{1}{2} \left( \frac{\sin(x/2)}{\sin(a_n/2)} \right)^{s_n} \cos \left( r_n - \frac{s_n}{2} \right) x.$$

of order at most  $r_n$ . Evidently, on  $[-a_n, a_n]$ ,  $u_n$  has at least  $Q(I_n) - 1$  zeros. If  $x \notin (-a_n - \varepsilon_n, a_n + \varepsilon_n)$  we have for  $s_n$  large enough

$$\begin{aligned} \left( \frac{\sin(x/2)}{\sin(a_n/2)} \right)^{s_n} &\geq \left( \frac{\sin((a_n + \varepsilon_n)/2)}{\sin(a_n/2)} \right)^{s_n} \\ &= \left( 1 + \frac{2 \sin(\varepsilon_n/4) \cos(a_n/2 + \varepsilon_n/4)}{\sin(a_n/2)} \right)^{s_n} \\ &\geq \left( 1 + \frac{2\varepsilon_n \sin \delta_1}{\pi a_n} \right)^{s_n} \\ &= \left( 1 + \frac{2M}{s_n} \right)^{s_n} \geq 2^{2M} > 2M. \end{aligned}$$

Thus  $u_n$  has at least  $(2\pi - 2a_n - 2\varepsilon_n)((2r_n - s_n)/2\pi) - 4$  zeros in  $[-\pi, \pi] \setminus (-a_n - \varepsilon_n, a_n + \varepsilon_n)$ . Therefore

$$Q(I_n) + (2\pi - 2a_n - 2\varepsilon_n) \frac{2r_n - s_n}{2\pi} \leq 2r_n + 5,$$

i.e.,

$$\begin{aligned} Q(I_n) &\leq 5 + \frac{2a_n r_n}{\pi} + \frac{2\varepsilon_n r_n}{\pi} + s_n, \\ \frac{1 + \delta}{\pi} &< \frac{Q(I_n)}{r_n |I_n|} = \frac{Q(I_n)}{2r_n a_n} \leq \frac{1}{\pi} + c \left( \frac{1}{r_n a_n} + \frac{\varepsilon_n}{a_n} + \frac{1}{\sqrt{r_n a_n}} \right), \end{aligned}$$

a contradiction, since  $r_n a_n \rightarrow \infty$  and  $\varepsilon_n/a_n = c/s_n \rightarrow 0$ .

We now return to the proof of the necessity of (5). Define the continuous  $2\pi$ -periodic function  $F_n$  by  $F_n(t_{kn}) = (-1)^k$  ( $1 \leq k \leq n$ ),  $F_n$  is linear in between, constant in  $[0, t_{1n}]$ ,  $[t_{nn}, \pi]$ ,  $F_n(t) = F_n(-t)$  ( $-\pi \leq t \leq 0$ ), and  $F_n(t + 2\pi) = F_n(t)$  ( $-\infty < t < \infty$ ). By (15)  $\omega(F_n, h) \leq cnh$ , hence  $E_n^T(F_n) \leq c_1$ . Set  $f_n(x) = F_n(\arccos x)$ . Then by assumption for any  $\varepsilon > 0$  there exist  $p_n \in \Pi_{[(1+\varepsilon)n]}$  such that  $p_n(x_{kn}) = f_n(x_{kn}) = (-1)^k$  ( $1 \leq k \leq n$ ) and

$$\|f_n - p_n\| \leq c_\varepsilon E_{[(1+\varepsilon)n]}(f_n) = c_\varepsilon E_{[(1+\varepsilon)n]}^T(F_n) \leq \tilde{c}_\varepsilon.$$

Thus  $\|p_n\| \leq c_\varepsilon^*$  ( $\deg p_n = [(1 + \varepsilon)n]$ ); hence by Lemma 3

$$\overline{\lim}_{n \rightarrow \infty} \frac{N_n(I_n)}{[(1 + \varepsilon)n] |I_n|} \leq \overline{\lim}_{n \rightarrow \infty} \frac{Q(I_n)}{[(1 + \varepsilon)n] |I_n|} \leq \frac{1}{\pi}.$$

Since  $\varepsilon > 0$  is arbitrary, we can put  $\varepsilon = 0$  here.

Using the same arguments, we could have proved the following, slightly more general theorem:

**THEOREM A.** *For every  $f(x) \in C[-1, 1]$ ,  $\varepsilon > 0$ , and  $d \geq 1$  there exists a sequence of polynomials  $q_n(x) \in \Pi_{[dn(1 + \varepsilon)]}$  such that (2) and*

$$\|f(x) - q_n(x)\| = O(E_{[dn(1 + \varepsilon)]}(f))$$

*hold, if and only if*

$$\overline{\lim}_{n \rightarrow \infty} \frac{N_n(I_n)}{n |I_n|} \leq \frac{d}{\pi} \quad \text{whenever} \quad \lim_{n \rightarrow \infty} n |I_n| = \infty$$

*and (6) holds.*

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