

The sequence of prime gaps is graphic

(joint with P. L. Erdős, S. R. Kharel, P. Maga, T. R. Mezei, Z. Toroczkai)

Gergely Harcos

Alfréd Rényi Institute of Mathematics
<https://users.renyi.hu/~gharcos/>

10 May 2022

Alfréd Rényi Institute of Mathematics
Number Theory Seminar

Introducing prime gap graphs

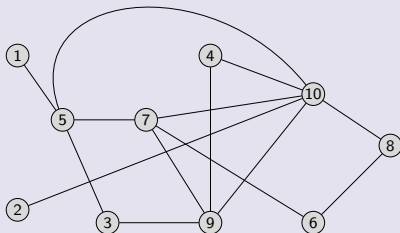
Definition

Let p_n denote the n -th prime number, and let $p_0 = 1$.

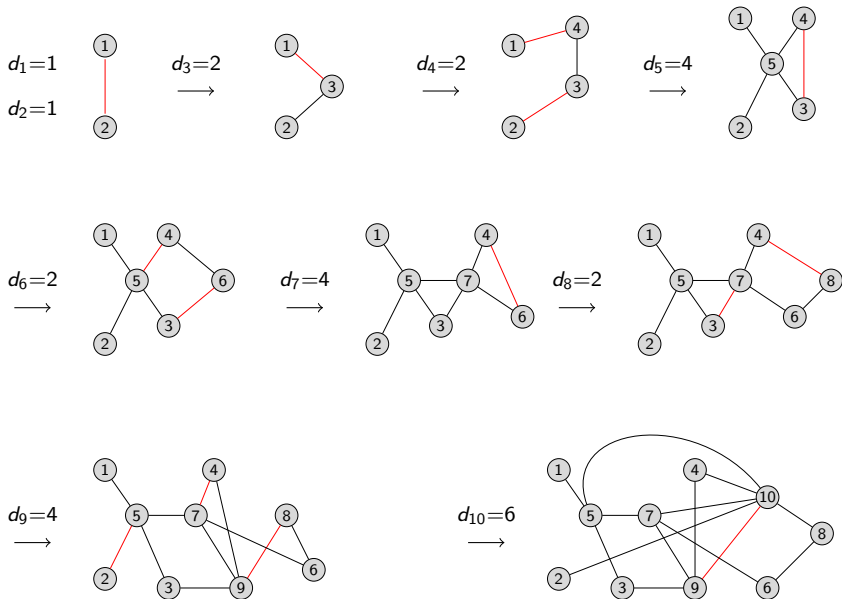
We call a simple graph on $n \geq 2$ vertices a *prime gap graph* if its vertex degrees are $p_1 - p_0, \dots, p_n - p_{n-1}$.

Example ($n = 10$)

1	2	3	5	7	11	13	17	19	23	29
1	1	2	2	4	2	4	2	4	6	



Prime gap graphs generated by a DPG-process



Imagine that we made to 30 vertices...

- A gap of 14 occurs between $p_{30} = 113$ and $p_{31} = 127$.
The earlier gaps are smaller, in fact they do not exceed 8.
- Imagine that we made to 30 vertices with the DPG-process.
Then our prime gap graph has $(p_{30} - 1)/2 = 56$ edges.
- To continue, we want to remove $14/2 = 7$ independent edges,
and connect their 14 ends to a new vertex, creating a prime
gap graph with 31 vertices and $(p_{31} - 1)/2 = 63$ edges.
- How can we guarantee $14/2 = 7$ independent edges without
actually looking at the graph?

Theorem (Vizing 1964)

The edges of a simple graph with maximal vertex degree Δ can be colored with $\Delta + 1$ colors.

Corollary

The 56 edges of a prime gap graph on 30 vertices can be colored with 9 colors. The largest color class has at least 7 members, because $9 \cdot 6 < 56$, and it consists of independent edges.

Main conjectures and main theorem

Conjecture (Toroczkai 2016)

For every $n \geq 2$, there exists a prime gap graph on n vertices.

Conjecture (Toroczkai 2016)

In every prime gap graph on n vertices, there exist $(p_{n+1} - p_n)/2$ independent edges.

Remark

The second conjecture says that, starting with the prime gap graph on 2 vertices, the DPG-process runs indefinitely. Hence it implies the first conjecture.

Theorem

*The above conjectures are true for every sufficiently large n .
Assuming the Riemann hypothesis, they are true for every $n \geq 2$.*

$(p_{n+1} - p_n)/2$ independent edges under RH (1 of 2)

We can assume $n \geq 5$. By Vizing's theorem, it suffices that

$$\left\lceil \frac{p_n - 1}{2N} \right\rceil \geq \frac{p_{n+1} - p_n}{2},$$

where

$$N := \max_{1 \leq \ell \leq n} (1 + p_\ell - p_{\ell-1}).$$

For $5 \leq n \leq 44$ we checked this by a simple computer program.

For $n \geq 45$, it would suffice to prove the following

Conjecture (cf. Oppermann 1877 & Legendre 1797)

For any $x \geq 117$, there is a prime number in $[x, x + \sqrt{x}]$.

Indeed, let $k \geq 15$ be the integer satisfying $(k-1)^2 < p_n < k^2$.

The conjecture implies that $p_{n+1} - p_n \leq k-1$ and $N \leq k$, hence

$$\left\lceil \frac{p_n - 1}{2N} \right\rceil \geq \frac{k-1}{2} \geq \frac{p_{n+1} - p_n}{2}.$$

$(p_{n+1} - p_n)/2$ independent edges under RH (2 of 2)

The previous conjecture holds for $x \in [117, 10^{18}]$ by known prime gap records, hence we can assume that $p_n > 10^{18}$. We shall use:

Theorem (Carneiro–Milinovich–Soundararajan 2019)

Assume the Riemann hypothesis. Then, for any $x \geq 4$, there is a prime number in $[x, x + \frac{22}{25}\sqrt{x} \log x]$.

Theorem (EHKMMT 2022)

Assume the Riemann hypothesis. Let $p_n > 10^{18}$, and let G be a prime gap graph on n vertices. For at least one-third of the edges of G , the endpoints have degrees less than

$$N := \left\lceil \frac{\sqrt{p_n}}{3 \log p_n} \right\rceil.$$

We succeed by applying Vizing's theorem to a subgraph of G :

$$\left\lceil \frac{p_n - 1}{6N} \right\rceil > 0.499 \sqrt{p_n} \log p_n > \frac{p_{n+1} - p_n}{2}.$$

Main analytic input under RH (1 of 3)

The last theorem follows from an explicit version of a result by Selberg (1943):

Theorem (EHKMMT 2022)

Assume the Riemann hypothesis. Then, for any $x \geq 2$ and $N > 0$, we have

$$\sum_{\substack{x \leq p_\ell \leq 2x \\ p_{\ell+1} - p_\ell \geq N}} (p_{\ell+1} - p_\ell) < \frac{163x \log^2 x}{N}.$$

Indeed, for

$$p_n > 10^{18} \quad \text{and} \quad N := \left\lceil \frac{\sqrt{p_n}}{3 \log p_n} \right\rceil$$

we obtain

$$\sum_{\substack{\ell \leq n \\ p_\ell - p_{\ell-1} \geq N}} (p_\ell - p_{\ell-1}) < 489 \sqrt{p_n} \log^3 p_n < \frac{p_n - 1}{3}.$$

Main analytic input under RH (2 of 3)

The proof relies on ideas of [Heath-Brown \(1978\)](#) and [Saffari–Vaughan \(1977\)](#). First, one can restrict to $x > 10^{18}$ and

$$81 \log^2 x < N < \frac{4}{3} \sqrt{x} \log x.$$

Then, writing $N = 4\delta x$, the statement can be reduced to

$$\int_x^{2x} |\psi(y + \delta y) - \psi(y) - \delta y|^2 dy < 20 \delta x^2 \log^2 x.$$

Now we employ an explicit version of a result by [Goldston \(1983\)](#):

Theorem (EHKMMT 2022)

For any $z > x > 10^{18}$ we have

$$\psi(x) = x - \sum_{|\Im \rho| < z} \frac{x^\rho}{\rho} + O^*(5 \log x \log \log x),$$

where the sum is over the nontrivial zeros of the Riemann zeta function (counted with multiplicity).

Main analytic input under RH (3 of 3)

Then it remains to show that

$$\int_x^{2x} \left| \sum_{|\Im \rho| < 3x} y^\rho C(\rho) \right|^2 dy < 9.942 \delta x^2 \log^2 x,$$

where

$$C(\rho) := \frac{1 - (1 + \delta)^\rho}{\rho}.$$

Here the calculation becomes technical. In big steps:

$$\begin{aligned} \text{LHS} &< \int_1^2 \int_{xv/2}^{2xv} \left| \sum_{|\Im \rho| < 3x} y^\rho C(\rho) \right|^2 dy dv \\ &< x^2 \sum_{\rho, \rho'} |C(\rho)|^2 \left| \frac{2^2 + 2^{-2}}{2 + \rho - \rho'} \right| \left| \frac{2^3 + 1}{3 + \rho - \rho'} \right| \\ &< 15.616 x^2 \sum_{\Im \rho > 0} \min \left(\delta^2, \frac{4}{(\Im \rho)^2} \right) \left(\frac{1}{2} + \log \frac{\Im \rho}{2\pi} \right). \end{aligned}$$

Graphicality of the prime gap sequence without RH (1 of 5)

Without the Riemann hypothesis, we are unable to prove Zoli's conjectures. However, we can verify them for sufficiently large n , proving that the DPG-process creates an infinite sequence of prime gap graphs.

Regarding the first conjecture, we recall

Theorem (Erdős–Gallai 1960)

Let $d_1 \geq \dots \geq d_n \geq 0$ be integers. Then the sequence (d_1, \dots, d_n) is graphic if and only if $d_1 + \dots + d_n$ is even and for every $k \in \{1, \dots, n\}$ we have

$$\sum_{\ell=1}^k d_{\ell} \leq k(k-1) + \sum_{\ell=k+1}^n \min(k, d_{\ell}).$$

Interestingly, we can apply this result to a long initial segment of the prime gap sequence even though this sequence is not ordered.

Theorem (EHKMMT 2022)

Let $\mathbf{D} = (d_1, \dots, d_n)$ be a sequence of positive integers such that $\|\mathbf{D}\|_1 = \sum_{\ell=1}^n d_\ell$ is even. Let $1 < p \leq \infty$ be a parameter, and assume that the following L^p -norm bound holds:

$$\|2 + \mathbf{D}\|_p \leq n^{\frac{1}{2} + \frac{1}{2p}}.$$

Then there is a simple graph G with degree sequence \mathbf{D} .

Proof (sketch).

By symmetry, we can assume that $d_1 \geq \dots \geq d_n$. Denoting $\mathbf{D}^k := (d_1, \dots, d_k)$, we strengthen the Erdős–Gallai condition to $\|2 + \mathbf{D}^k\|_1 \leq k^2 + n$. This stronger condition follows from the initial assumption and Hölder's inequality, hence we are done:

$$\|2 + \mathbf{D}^k\|_1 \leq k^{1 - \frac{1}{p}} \|2 + \mathbf{D}^k\|_p \leq k^{1 - \frac{1}{p}} n^{\frac{1}{2} + \frac{1}{2p}} < k^2 + n. \quad \square$$

Graphicality of the prime gap sequence without RH (3 of 5)

The previous theorem reduces Zoli's first conjecture to

$$\sum_{\ell=1}^n (2 + p_{\ell} - p_{\ell-1})^2 \leq n^{3/2}.$$

As **Heath-Brown (1978)** proved that the left-hand side is at most $n^{4/3+o(1)}$, the conjecture indeed holds for every sufficiently large n .

For Zoli's second conjecture, we developed

Theorem (EHKMMT 2022)

Let $\mathbf{D} = (d_1, \dots, d_n)$ be a sequence of positive integers such that $\|\mathbf{D}\|_1 = \sum_{\ell=1}^n d_{\ell}$ is even. Let $1 < p \leq \infty$ be a parameter, and let G be any simple graph with degree sequence \mathbf{D} . Assume that $d \geq 2$ is an even integer satisfying

$$4d^{1-\frac{1}{p}} \|\mathbf{D}\|_p \leq \|\mathbf{D}\|_1.$$

Then G contains $d/2$ independent edges.

Proof (sketch).

By Vizing's theorem, it suffices to verify that the following condition holds for some integer $\delta \geq 1$:

$$\frac{1}{\delta} \left(\frac{1}{2} \sum_{\ell=1}^n d_{\ell} - \sum_{d_{\ell} \geq \delta} d_{\ell} \right) \geq \frac{d}{2}.$$

If $p = \infty$, then we can choose $\delta := 1 + \|\mathbf{D}\|_{\infty}$. So let us focus on the case $1 < p < \infty$. For any integer $\delta \geq 1$, we have

$$\sum_{\ell=1}^n d_{\ell} - 2 \sum_{d_{\ell} \geq \delta} d_{\ell} \geq \|\mathbf{D}\|_1 - 2\delta^{1-p} \|\mathbf{D}\|_p^p,$$

hence it suffices that

$$\delta^{1-p} \|\mathbf{D}\|_p^p \leq \frac{1}{4} \|\mathbf{D}\|_1 \quad \text{and} \quad \delta d \leq \frac{1}{2} \|\mathbf{D}\|_1.$$

Proof (sketch, continued).

In other words, it suffices to find an integer δ satisfying

$$\left(\frac{4 \|\mathbf{D}\|_p^p}{\|\mathbf{D}\|_1} \right)^{\frac{1}{p-1}} \leq \delta \leq \frac{1}{2d} \|\mathbf{D}\|_1.$$

The left-hand side exceeds 1, hence δ exists as long as

$$2 \left(\frac{4 \|\mathbf{D}\|_p^p}{\|\mathbf{D}\|_1} \right)^{\frac{1}{p-1}} \leq \frac{1}{2d} \|\mathbf{D}\|_1. \quad \square$$

The previous theorem reduces Zoli's second conjecture to

$$16(p_{n+1} - p_n) \sum_{\ell=1}^n (p_\ell - p_{\ell-1})^2 \leq (p_n - 1)^2.$$

By [Ingham \(1937\)](#) and [Heath-Brown \(1978\)](#), the left-hand side is at most $n^{5/8+4/3+o(1)} = n^{47/24+o(1)}$, so we are done for large n .