

# The sequence of prime gaps is graphic

(joint with P. L. Erdős, S. R. Kharel, P. Maga, T. R. Mezei, Z. Toroczkai)

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# Introducing prime gap graphs

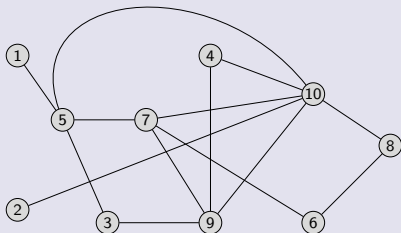
## Definition

Let  $p_n$  denote the  $n$ -th prime number, and let  $p_0 = 1$ .

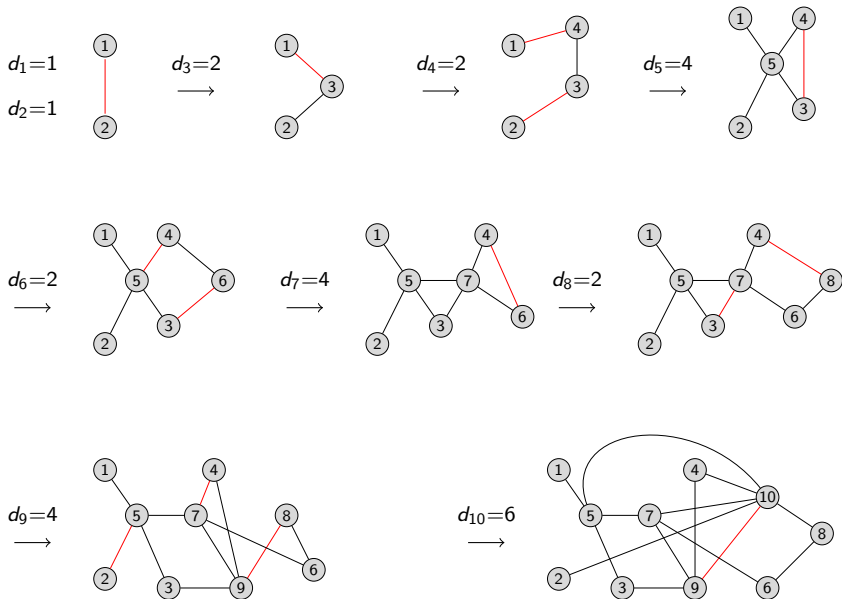
We call a simple graph on  $n \geq 2$  vertices a *prime gap graph* if its vertex degrees are  $p_1 - p_0, \dots, p_n - p_{n-1}$ .

## Example ( $n = 10$ )

1	2	3	5	7	11	13	17	19	23	29
1	1	2	2	4	2	4	2	4	6	



# Prime gap graphs generated by a DPG-process



## Imagine that we made to 30 vertices...

- A gap of 14 occurs between  $p_{30} = 113$  and  $p_{31} = 127$ .  
The earlier gaps are smaller, in fact they do not exceed 8.
- Imagine that we made to 30 vertices with the DPG-process.  
Then our prime gap graph has  $(p_{30} - 1)/2 = 56$  edges.
- To continue, we want to remove  $14/2 = 7$  independent edges,  
and connect their 14 ends to a new vertex, creating a prime  
gap graph with 31 vertices and  $(p_{31} - 1)/2 = 63$  edges.
- How can we guarantee  $14/2 = 7$  independent edges without  
actually looking at the graph?

### Theorem (Vizing 1964)

*The edges of a simple graph with maximal vertex degree  $\Delta$  can be colored with  $\Delta + 1$  colors.*

### Corollary

*The 56 edges of a prime gap graph on 30 vertices can be colored with 9 colors. The largest color class has at least 7 members, because  $9 \cdot 6 < 56$ , and it consists of independent edges.*

# Main conjectures and main theorem

## Conjecture (Toroczkai 2016)

*For every  $n \geq 2$ , there exists a prime gap graph on  $n$  vertices.*

## Conjecture (Toroczkai 2016)

*In every prime gap graph on  $n$  vertices, there exist  $(p_{n+1} - p_n)/2$  independent edges.*

## Remark

The second conjecture says that, starting with the prime gap graph on 2 vertices, the DPG-process runs indefinitely. Hence it implies the first conjecture.

## Theorem

*The above conjectures are true for every sufficiently large  $n$ .  
Assuming the Riemann hypothesis, they are true for every  $n \geq 2$ .*

## $(p_{n+1} - p_n)/2$ independent edges under RH (1 of 2)

We can assume  $n \geq 5$ . By Vizing's theorem, it suffices that

$$\left\lceil \frac{p_n - 1}{2N} \right\rceil \geq \frac{p_{n+1} - p_n}{2},$$

where

$$N := \max_{1 \leq m \leq n} (1 + p_m - p_{m-1}).$$

For  $5 \leq n \leq 44$  we checked this by a simple computer program.

For  $n \geq 45$ , it would suffice to prove the following

**Conjecture (cf. Oppermann 1877 & Legendre 1797)**

*For any  $x \geq 117$ , there is a prime number in  $[x, x + \sqrt{x}]$ .*

Indeed, let  $k \geq 15$  be the integer satisfying  $(k-1)^2 < p_n < k^2$ .

The conjecture implies that  $p_{n+1} - p_n \leq k - 1$  and  $N \leq k$ , hence

$$\left\lceil \frac{p_n - 1}{2N} \right\rceil \geq \frac{k-1}{2} \geq \frac{p_{n+1} - p_n}{2}.$$

## $(p_{n+1} - p_n)/2$ independent edges under RH (2 of 2)

The previous conjecture holds for  $x \in [117, 10^{18}]$  by known prime gap records, hence we can assume that  $p_n > 10^{18}$ . We shall use:

Theorem (Carneiro–Milinovich–Soundararajan 2019)

*Assume the Riemann hypothesis. Then, for any  $x \geq 4$ , there is a prime number in  $[x, x + \frac{22}{25}\sqrt{x} \log x]$ .*

Theorem (EHKMMT 2022)

*Assume the Riemann hypothesis. Let  $p_n > 10^{18}$ , and let  $G$  be a prime gap graph on  $n$  vertices. For at least one-third of the edges of  $G$ , the endpoints have degrees less than*

$$N := \left\lceil \frac{\sqrt{p_n}}{3 \log p_n} \right\rceil.$$

We succeed by applying Vizing's theorem to a subgraph of  $G$ :

$$\left\lceil \frac{p_n - 1}{6N} \right\rceil > 0.499 \sqrt{p_n} \log p_n > \frac{p_{n+1} - p_n}{2}.$$

# Main analytic input under RH (1 of 3)

The last theorem follows from an explicit version of a result by Selberg (1943):

Theorem (EHKMMT 2022)

*Assume the Riemann hypothesis. Then, for any  $x \geq 2$  and  $N > 0$ , we have*

$$\sum_{\substack{x \leq p_m \leq 2x \\ p_{m+1} - p_m \geq N}} (p_{m+1} - p_m) < \frac{163x \log^2 x}{N}.$$

Indeed, for

$$p_n > 10^{18} \quad \text{and} \quad N := \left\lceil \frac{\sqrt{p_n}}{3 \log p_n} \right\rceil$$

we obtain

$$\sum_{\substack{m \leq n \\ p_m - p_{m-1} \geq N}} (p_m - p_{m-1}) < 489 \sqrt{p_n} \log^3 p_n < \frac{p_n - 1}{3}.$$



## Main analytic input under RH (2 of 3)

The proof relies on ideas of [Heath-Brown \(1978\)](#) and [Saffari–Vaughan \(1977\)](#). First, one can restrict to  $x > 10^{18}$  and

$$81 \log^2 x < N < \frac{4}{3} \sqrt{x} \log x.$$

Then, writing  $N = 4\delta x$ , the statement can be reduced to

$$\int_x^{2x} |\psi(y + \delta y) - \psi(y) - y\delta|^2 dy < 20 \delta x^2 \log^2 x.$$

Now we employ an explicit version of a result by [Goldston \(1983\)](#):

### Theorem (EHKMMT 2022)

For any  $z > x > 10^{18}$  we have

$$\psi(x) = x - \sum_{|\Im \rho| < z} \frac{x^\rho}{\rho} + O^*(5 \log x \log \log x),$$

where the sum is over the nontrivial zeros of the Riemann zeta function (counted with multiplicity).

## Main analytic input under RH (3 of 3)

Then it remains to show that

$$\int_x^{2x} \left| \sum_{|\Im \rho| < 3x} y^\rho C(\rho) \right|^2 dy < 9.942 \delta x^2 \log^2 x,$$

where

$$C(\rho) := \frac{1 - (1 + \delta)^\rho}{\rho}.$$

Here the calculation becomes technical. In big steps:

$$\begin{aligned} \text{LHS} &< \int_1^2 \int_{xv/2}^{2xv} \left| \sum_{|\Im \rho| < 3x} y^\rho C(\rho) \right|^2 dy dv \\ &< x^2 \sum_{\rho, \rho'} |C(\rho)|^2 \left| \frac{2^2 + 2^{-2}}{2 + \rho - \rho'} \right| \left| \frac{2^3 + 1}{3 + \rho - \rho'} \right| \\ &< 15.616 x^2 \sum_{\Im \rho > 0} \min \left( \delta^2, \frac{4}{(\Im \rho)^2} \right) \left( \frac{1}{2} + \log \frac{\Im \rho}{2\pi} \right). \end{aligned}$$

Without the Riemann hypothesis, we are unable to prove Zoli's conjectures. However, we can verify them for sufficiently large  $n$ , proving that the DPG-process creates an infinite sequence of prime gap graphs.

Regarding the first conjecture, we recall

## Theorem (Erdős–Gallai 1960)

*Let  $d_1 \geq \dots \geq d_n \geq 0$  be integers. Then the sequence  $(d_1, \dots, d_n)$  is graphic if and only if  $d_1 + \dots + d_n$  is even and for every  $k \in \{1, \dots, n\}$  we have*

$$\sum_{i=1}^k d_i \leq k(k-1) + \sum_{i=k+1}^n \min(k, d_i).$$

Interestingly, we can apply this result to a long initial segment of the prime gap sequence even though this sequence is not ordered.

## Theorem (EHKMMT 2022)

Let  $\mathbf{D} = (d_1, \dots, d_n)$  be a sequence of positive integers such that  $\|\mathbf{D}\|_1 = \sum_{i=1}^n d_i$  is even. Let  $1 < p \leq \infty$  be a parameter, and assume that the following  $L^p$ -norm bound holds:

$$\|2 + \mathbf{D}\|_p \leq n^{\frac{1}{2} + \frac{1}{2p}}.$$

Then there is a simple graph  $G$  with degree sequence  $\mathbf{D}$ .

## Proof (sketch).

By symmetry, we can assume that  $d_1 \geq \dots \geq d_n$ . Denoting  $\mathbf{D}^k := (d_1, \dots, d_k)$ , we strengthen the Erdős–Gallai condition to  $\|2 + \mathbf{D}^k\|_1 \leq k^2 + n$ . This stronger condition follows from the initial assumption and Hölder's inequality, hence we are done:

$$\|2 + \mathbf{D}^k\|_1 \leq k^{1 - \frac{1}{p}} \|2 + \mathbf{D}^k\|_p \leq k^{1 - \frac{1}{p}} n^{\frac{1}{2} + \frac{1}{2p}} < k^2 + n. \quad \square$$

# Graphicality of the prime gap sequence without RH (3 of 5)

The previous theorem reduces Zoli's first conjecture to

$$\sum_{i=1}^n (2 + p_i - p_{i-1})^2 \leq n^{3/2}.$$

As **Heath-Brown (1978)** proved that the left-hand side is at most  $n^{4/3+o(1)}$ , the conjecture indeed holds for every sufficiently large  $n$ .

For Zoli's second conjecture, we developed

## Theorem (EHKMMT 2022)

*Let  $\mathbf{D} = (d_1, \dots, d_n)$  be a sequence of positive integers such that  $\|\mathbf{D}\|_1 = \sum_{i=1}^n d_i$  is even. Let  $1 < p \leq \infty$  be a parameter, and let  $G$  be any simple graph with degree sequence  $\mathbf{D}$ . Assume that  $d \geq 2$  is an even integer satisfying*

$$4d^{1-\frac{1}{p}} \|\mathbf{D}\|_p \leq \|\mathbf{D}\|_1.$$

*Then  $G$  contains  $d/2$  independent edges.*

Proof (sketch).

By Vizing's theorem, it suffices to verify that the following condition holds for some integer  $\delta \geq 1$ :

$$\frac{1}{\delta} \left( \frac{1}{2} \sum_{i=1}^n d_i - \sum_{d_i \geq \delta} d_i \right) \geq \frac{d}{2}.$$

If  $p = \infty$  we can choose  $\delta := 1 + \|\mathbf{D}\|_\infty$ . So let us focus on the case  $1 < p < \infty$ . For any integer  $\delta \geq 1$ , we have

$$\sum_{i=1}^n d_i - 2 \sum_{d_i \geq \delta} d_i \geq \|\mathbf{D}\|_1 - 2\delta^{1-p} \|\mathbf{D}\|_p^p,$$

hence it suffices that

$$\delta^{1-p} \|\mathbf{D}\|_p^p \leq \frac{1}{4} \|\mathbf{D}\|_1 \quad \text{and} \quad \delta d \leq \frac{1}{2} \|\mathbf{D}\|_1.$$

Proof (sketch, continued).

In other words, it suffices to find an integer  $\delta$  satisfying

$$\left( \frac{4 \|\mathbf{D}\|_p^p}{\|\mathbf{D}\|_1} \right)^{\frac{1}{p-1}} \leq \delta \leq \frac{1}{2d} \|\mathbf{D}\|_1.$$

The left-hand side exceeds 1, hence  $\delta$  exists as long as

$$2 \left( \frac{4 \|\mathbf{D}\|_p^p}{\|\mathbf{D}\|_1} \right)^{\frac{1}{p-1}} \leq \frac{1}{2d} \|\mathbf{D}\|_1. \quad \square$$

The previous theorem reduces Zoli's second conjecture to

$$16(p_{n+1} - p_n) \sum_{i=1}^n (p_i - p_{i-1})^2 \leq (p_n - 1)^2.$$

By [Ingham \(1937\)](#) and [Heath-Brown \(1978\)](#), the left-hand side is at most  $n^{5/8+4/3+o(1)} = n^{47/24+o(1)}$ , so we are done for large  $n$ .