ON AN INTEGRO-DIFFERENTIAL TRANSFORM ON THE SPHERE

E. MAKAI, JR.*, H. MARTINI, T. ÓDOR**

ABSTRACT. In a recent paper the authors have proved that a convex body $K \subset \mathbb{R}^d$, $d \geq 2$, containing the origin 0 in its interior, is symmetric with respect to 0 if and only if $V_{d-1}(K \cap H') \geq V_{d-1}(K \cap H)$ for all hyperplanes H, H' such that H and H' are parallel and $H' \ni 0$ (V_{d-1} is (d-1)-measure). For the proof the authors have employed a new type of integro-differential transform, that lets to correspond to a sufficiently nice function f on S^{d-1} the function $R^{(1)}f$, where $(R^{(1)}f)(\xi) = \int (\partial f/\partial \psi) d\eta$ — with $\xi \in S^{d-1}$ as pole and ψ as geographic latitude — and $S^{d-1} \cap \xi^{\perp}$

have determined the null-space of the operator $R^{(1)}$. In this paper we extend the definition to any integer $m \geq 1$, defining $(R^{(m)}f)(\xi)$ analogously as for m = 1, but using $\partial^m f / \partial \psi^m$ rather than $\partial f / \partial \psi$. (The case m = 0 is the spherical Radon transformation (Funk transformation).) We investigate the null-space of the operator $R^{(m)}$: up to a summand of finite dimension, it consists of the even (odd) functions in the domain of the operator, for m odd (even). For the proof we use spherical harmonics.

1. INTRODUCTION

In [Makai–Martini–Ódor] we have proved that a convex body $K \subset \mathbb{R}^d$, $d \geq 2$, containing the origin 0 in its interior, has the property that for every hyperplane H the hyperplane H' parallel to H and passing through 0 satisfies $V_{d-1}(K \cap H') \geq$ $V_{d-1}(K \cap H)$ (V_{d-1} is (d-1)–volume), if and only if this convex body K is symmetric with respect to 0. The case d = 2 was proved by [Hammer, 1954]. (In fact he proved the analogous statement for 1–dimensional sections for $K \subset \mathbb{R}^d$, and we have proved the analogous statement for k–dimensional sections for $K \subset \mathbb{R}^d$, $1 \leq k \leq d - 1$.)

We have proved our result by using a new Radon-type transformation, which can be considered as a common generalization of partial differential operators and Radon-type transformations. Moreover we have proved our theorem by using spherical harmonics and the Funk-Hecke theorem.

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Our integro-differential transformation assigns the function $R^{(1)}f: S^{d-1} \to \mathbb{R}$ to a sufficiently nice function $f: S^{d-1} \to \mathbb{R}$, where $(R^{(1)}f)(\omega)$ is the integral of the derivative of f in the direction ω over the $S^{d-2} \subset S^{d-1}$ whose spherical center is ω . In order to prove our theorem, we have established that the null-space of this operator $R^{(1)}$ consists of the even functions in the domain of $R^{(1)}$.

Here we investigate certain generalization of this transformation where for $m \ge 0$, the *m*'th derivative of *f* with respect to the geographic latitude (ω considered as the north pole) is integrated over the same S^{d-2} . The case m = 0 is the spherical Radon transformation (Funk transformation), the case m = 1 is the transformation $R^{(1)}$ above. We obtain that for $m \ge 1$, the null–space of this operator is the direct sum of the even functions (for *m* odd) or odd functions (for *m* even) in the domain of this operator, respectively, and a subspace of finite dimension. (We note that the domain of $R^{(1)}$ in this paper is smaller than that in [Makai–Martini–Ódor].)

For general analytical background, we refer to the books [Tricomi, 1957], [Adams, 1975] and [Ziemer, 1989].

2. Preliminaries

As usual, \mathbb{R}^d denotes the *d*-dimensional Euclidean space which is endowed with the standard inner product and norm $|\cdot|$ structure. We will suppose $d \geq 2$. The origin is denoted by 0 and V_{d-1} is the (d-1)-dimensional volume on the hyperplanes.

Let S^{d-1} denote the unit sphere with center 0; its variable point will be denoted by ω , ξ or η . For $\omega \in S^{d-1}$ and $t \in \mathbb{R}$ let $H(\omega, t)$ be the hyperplane given by the equation $\langle x, \omega \rangle = t$. We write ω^{\perp} for $H(\omega, 0)$. Often we will use a polar coordinate system on S^{d-1} , with ("north") pole some $\xi \in S^{d-1}$. That is, any $\omega \in S^{d-1}$ can be written as

(1)
$$\omega = \xi \sin \psi + \eta \cos \psi$$
, where $\eta \in S^{d-1} \cap \xi^{\perp}$ and $-\pi/2 \le \psi \le \pi/2$

(thus ψ is the *geographic latitude*, which will be more convenient for us than the costumarily used $\varphi = \pi/2 - \psi$); then we write

(2)
$$\omega = (\eta, \psi)$$

A real function defined on S^{d-1} is called *even (odd)*, if for all $\omega \in S^{d-1}$ we have $f(-\omega) = f(\omega)$ $(f(-\omega) = -f(\omega))$.

We turn to spherical harmonics, which are higher-dimensional generalizations of the trigonometric functions $\cos(nx)$, $\sin(nx)$ (these are obtained for d = 2). Standard references are [Müller, 1966], [Seeley, 1966], [Erdélyi et al., 1953] and, for d = 3 in more detail, [Sansone, 1959]; further references, with some geometrical applications, are e.g. [Blaschke, 1956], §23, Anhang, [Gardner, 1995], Appendix C, and also the survey paper [Groemer, 1993] as well as the books [Schneider, 1993], pp. 428–432 and [Groemer, 1996], which contain ample further bibliography.

A polynomial $f: \mathbb{R}^d \to \mathbb{R}$ is *harmonic*, if $\sum_{i=1}^d (\partial/\partial x_i)^2 f = 0$. (This is invariant under the choice of an orthonormal base.) For an integer $n \ge 0$ a spherical

harmonic (of degree n) in d dimensions is the restriction of a homogeneous harmonic polynomial $f: \mathbb{R}^d \to \mathbb{R}$ (of degree n) to S^{d-1} . (Since d will be fixed, later we will not refer to the dimension.) The spherical harmonics of degree n form a finite dimensional vector space. Choosing from this subspace an orthonormal base $\{Y_{ni} \mid 1 \leq i \leq N(d, n)\}$ (orthonormality meant in the space $L^2(S^{d-1})$, for the Lebesgue measure on S^{d-1}), their union for each $n \geq 0$ is a complete orthonormal system in $L^2(S^{d-1})$. Thus each $f \in L^2(S^{d-1})$ has a Fourier expansion $\sum_{n=0}^{\infty} \left(\sum_{i=1}^{N(d,n)} c_{ni}Y_{ni}\right)$. Here we will write $\sum_{i=1}^{N(d,n)} c_{ni}Y_{ni} = Y_n(f)$, thus the Fourier expansion of f is $\sum_{n=0}^{\infty} Y_n(f)$.

The spherical harmonics are the eigenfunctions of many linear operators commuting with rotations. For example, the Funk–Hecke theorem ([Seeley, 1966], Theorem 3) says the following. Let F be measurable on [-1,1], with $\int_{-1}^{1} |F(t)|(1-t^2)^{(d-3)/2}dt < \infty$. Then any spherical harmonic Y_n of *n*-th degree is an eigenfunction of the integral operator $f \mapsto g = g(\xi) = \int_{S^{d-1}} F(\langle \xi, \eta \rangle) f(\eta) d\eta$, that is

$$\int_{S^{d-1}} F(\langle \xi, \eta \rangle) Y_n(\eta) d\eta = \lambda_n Y_n(\xi) \,,$$

where the eigenvalue λ_n equals

$$\lambda_n = V_{d-2}(S^{d-2})C_n(1)^{-1} \int_{-1}^{1} F(t)C_n(t)(1-t^2)^{(d-3)/2} dt \, .$$

Here V_{d-2} means (d-2)-dimensional volume, and $C_n(t) = C_n^{(d-2)/2}(t)$ is the *n*'th Gegenbauer polynomial, of order (d-2)/2, that is a non-zero polynomial of degree n, satisfying for $0 \le n < m$ the orthogonality relations

$$\int_{-1}^{1} C_n(t) C_m(t) (1-t^2)^{(d-3)/2} dt = 0.$$

There holds $C_n(1) \neq 0$, [Seeley, 1966], (3). For *n* odd (even) C_n is an odd (even) function [Erdélyi et al., 1953], §10.9, (16). References to Gegenbauer polynomials are [Erdélyi et al., 1953] and [Tricomi, 1955].

For suitable measures or distributions on [-1, 1] a formula similar to the Funk– Hecke theorem holds, cf. for example Lemma 3.2.

3. The operators $R_{\psi}^{(m)}$ and R_{ψ}^{P}

Now we in essence generalize the definition of the operator $R_{\psi}^{(1)}$ from [Makai–Martini–Ódor]. (We observe that although $R_{\psi}^{(m)}$ for m = 1 in this paper is defined

by the same formula as $R_{\psi}^{(1)}$ in [Makai–Martini–Ódor], however the domain of the operator $R_{\psi}^{(m)}$ for m = 1 in this paper is smaller than that of the operator $R_{\psi}^{(1)}$ from [Makai–Martini–Ódor]: namely it is $C^1(S^{d-1})$ rather than $Lip(S^{d-1})$.) Let $d \geq 2$, and let $m \geq 1$ be an integer. Let $-\pi/2 \leq \psi \leq \pi/2$, $f \in C^m(S^{d-1})$ and $\xi \in S^{d-1}$. Using polar coordinates with pole ξ (cf. (1) and (2)), we define the integro–differential transform $R_{\psi}^{(m)}f$ of f by

(3)
$$(R_{\psi}^{(m)}f)(\xi) = \int_{S^{d-1} \cap \xi^{\perp}} \frac{\partial^m f}{\partial \psi^m}(\eta, \psi) d\eta \,.$$

Here $(\partial^m f/\partial \psi^m)(\eta, \psi)$ is the *m*-th angular derivative of *f* at (η, ψ) along the meridian passing through η . For $\psi = 0$ we drop the lower index.

The case m = 1 of the following lemma is essentially contained in Lemma 3.6 of [Makai–Martini–Ódor] (there $Lip(S^{d-1})$ and $L^{\infty}(S^{d-1})$ appear rather than $C^1(S^{d-1})$ and $C(S^{d-1})$).

Lemma 3.1. Let $d \ge 2$, $m \ge 1$, $-\pi/2 \le \psi \le \pi/2$ and $f, g \in C^m(S^{d-1})$. Then we have $R_{\psi}^{(m)} f \in C(S^{d-1})$, and $R_{\psi}^{(m)}$ is symmetric, i.e., $\int_{S^{d-1}} (R_{\psi}^{(m)} f)(\xi)g(\xi)d\xi =$

 $\int\limits_{\substack{S^{d-1}\\(\xi)d\xi}} f(\xi)(R_{\psi}^{(m)}g)$

Proof. The relation $R_{\psi}^{(m)} f \in C(S^{d-1})$ is proved in a standard way.

Further, we have by Lebesgue's dominated convergence theorem

$$\int_{S^{d-1}} (R_{\psi}^{(m)}f)(\xi)g(\xi)d\xi =$$
$$\lim_{\varepsilon \to 0} \int_{S^{d-1}} \left[\int_{S^{d-1} \cap \xi^{\perp}} \left(\frac{\partial^{m-1}f}{\partial \psi^{m-1}}(\eta,\psi+\varepsilon) - \frac{\partial^{m-1}f}{\partial \psi^{m-1}}(\eta,\psi) \right) \varepsilon^{-1}d\eta \right] g(\xi)d\xi$$

Induction on *m* shows that this equals $\int_{S^{d-1}} f(\xi)(R_{\psi}^{(m)}g)(\xi)d\xi$. The induction basis is m = 1, which follows from Lemma 3.6 of [Makai–Martini–Ódor]. \Box

Defining $R_{\psi}^{(0)}$ in the analogous way, i.e., by

$$(R_{\psi}^{(0)}f)(\xi) = \int_{S^{d-1} \cap \xi^{\perp}} f(\eta, \psi) d\eta,$$

we have the following lemma, that generalizes Lemma 3.7 of [Makai–Martini–Ódor]. Its statement in the case m = 0 is due to [Radon, 1917] (for d = 3), and to [Schneider, 1969], formula (5) (an alternative proof cf. in [Makai–Martini–Ódor], Lemma 3.7), while in the case m = 1 it is due to [Makai–Martini–Ódor], Lemma 3.7.

Lemma 3.2. Let $d \geq 2$, $m \geq 0$, $-\pi/2 \leq \psi \leq \pi/2$, and let $Y_n: S^{d-1} \to \mathbb{R}$ be a spherical harmonic of degree n. Then Y_n is an eigenfunction of $R_{\psi}^{(m)}$, i.e., $R_{\psi}^{(m)}Y_n = \lambda_n Y_n$, with

$$\lambda_n = V_{d-2}(S^{d-2})C_n^{(d-2)/2}(1)^{-1} \left(\frac{d}{d\psi}\right)^m C_n^{(d-2)/2}(\sin\psi) \ .$$

Proof. By the case m = 0 of our statement (referred to above) we have

$$\int_{S^{d-1} \cap \xi^{\perp}} Y_n(\eta, \psi) d\eta = V_{d-2}(S^{d-2})C_n(1)^{-1}C_n(\sin\psi) \cdot Y_n(\xi) \,.$$

From this case subsequent differentiations with respect to ψ prove the statement for any $m \geq 1$. \Box

The following theorem is more or less a generalization of Theorem 3.8 of [Makai–Martini–Ódor] (there $Lip(S^{d-1})$ and $L^{\infty}(S^{d-1})$ appear rather than $C^1(S^{d-1})$ and $C(S^{d-1})$). The statement corresponding to the case $m = 0, \psi = 0$ in this theorem is the Funk integral theorem (for $f \in C(S^{d-1})$), cf. [Minkowski, 1905], [Funk, 1913], Kap. 2, [Bonnesen–Fenchel, 1934], pp. 136–138, [Lifshitz–Pogorelov, 1954], [Petty, 1961], [Schneider, 1969], [Helgason, 1980], Ch. 3, §1.B and [Helgason, 1984], the case $m = 0, \psi$ arbitrary is essentially contained in [Schneider, 1969], while the case m = 1 is contained in [Makai–Martini–Ódor], Theorem 3.8.

Theorem 3.3. Let $d \ge 2$, $m \ge 1$ and $-\pi/2 \le \psi \le \pi/2$. Then the null-space of the operator $R_{\psi}^{(m)}: C^m(S^{d-1}) \to C(S^{d-1})$ equals $\{f \in C^m(S^{d-1}) \mid \text{the Fourier expansion} \sum_{n=0}^{\infty} Y_n(f) \text{ of } f \text{ satisfies that } (d/d\psi)^m C_n^{(d-2)/2}(\sin \psi) \ne 0 \text{ implies } Y_n(f) = 0\}.$ In particular, for $\psi = 0$ and m odd (even) the null-space of $R^{(m)} = R_0^{(m)}$ contains $\{f \in C^m(S^{d-1}) \mid f \text{ is even } (f \text{ is the sum of an odd function and a constant})\}.$

Proof. For the first statement we proceed analogously to [Alexandroff, 1937], [Petty, 1961], [Schneider, 1969], [Schneider, 1970], [Falconer, 1983]. Let $f \in C^m(S^{d-1})$. Then, by 3.1, we have $R_{\psi}^{(m)} f \in C(S^{d-1}) \subset L^2(S^{d-1})$. Moreover, by completeness of spherical harmonics, $R_{\psi}^{(m)} f = 0$ holds (a.e.) if and only if for each $n \geq 0$, and each spherical harmonic Y_n of degree n we have $0 = \langle R_{\psi}^{(m)} f, Y_n \rangle$, where \langle , \rangle now denotes scalar product in $L^2(S^{d-1})$. Letting $\sum_{n=0}^{\infty} Y_n(f)$ be the Fourier expansion of f, we have by 3.1 and 3.2 that

$$\langle R_{\psi}^{(m)}f, Y_n \rangle = \langle f, R_{\psi}^{(m)}Y_n \rangle = \lambda_n \langle f, Y_n \rangle =$$
$$V_{d-2}(S^{d-2})C_n(1)^{-1} \left(\frac{d}{d\psi}\right)^m C_n(\sin\psi) \cdot \langle Y_n(f), Y_n \rangle$$

For fixed n and Y_n arbitrary this is 0 if and only if $(d/d\psi)^m C_n(\sin\psi) \cdot Y_n(f) = 0$. This implies the first statement. For the second statement observe that for f constant we have $R_{\psi}^{(m)}f = 0$. Furthermore, for $\psi = 0$, m odd (even) and f even (odd) by 3.1 we have for all $\xi \in S^{d-1}$ that for all $\eta \in S^{d-1} \cap \xi^{\perp}$ there holds $(\partial^m f/\partial \psi^m)(\eta, 0) + (\partial^m f/\partial \psi^m)(-\eta, 0) = 0$, thus $R^{(m)}f = 0$. \Box

More generally, let $d \ge 2$, $m \ge 1$ an integer, and P a real polynomial of degree m. Further let $-\pi/2 \le \psi \le \pi/2$, $f \in C^m(S^{d-1})$ and $\xi \in S^{d-1}$. Using polar coordinates with pole ξ , we define the integro-differential transform $R_{\psi}^P f$ of f by

(4)
$$(R_{\psi}^{P}f)(\xi) = \int_{S^{d-1} \cap \xi^{\perp}} \left(P\left(\frac{\partial}{\partial \psi}\right) \right) f(\eta, \psi) d\eta.$$

For $\psi = 0$ we drop the lower index. Then, analogously like above, we have

Theorem 3.4. Let $d \ge 2$ be a fixed integer. Let $m \ge 1$ be an integer, P a real polynomial of degree m, and $-\pi/2 \le \psi \le \pi/2$. Furthermore, let $f, g \in C^m(S^{d-1})$. Then we have $R_{\psi}^P f \in C(S^{d-1})$, and R_{ψ}^P is symmetric, i.e., $\int_{S^{d-1}} (R_{\psi}^P f)(\xi)g(\xi)d\xi = C(S^{d-1})$.

 $\int_{S^{d-1}} f(\xi)(R^P_{\psi}g)(\xi)d\xi.$ The null-space of the operator $R^P_{\psi}: C^m(S^{d-1}) \to C(S^{d-1})$

equals $\{f \in C^m(S^{d-1}) \mid \text{the Fourier expansion} \sum_{n=0}^{\infty} Y_n(f) \text{ of } f \text{ satisfies that} (P(d/d\psi)) C_n^{(d-2)/2}(\sin \psi) 0 \text{ implies } Y_n(f) = 0\}.$

Proof. Let $P(t) = \sum_{r=0}^{m} c_r t^r$. The statement $R_{\psi}^P f \in C(S^{d-1})$ and symmetry of R_{ψ}^P follow from 3.1. Then 3.2 implies its analogue for R_{ψ}^P , with eigenvalue

$$\lambda_n = V_{d-2}(S^{d-2})C_n^{(d-2)/2}(1)^{-1}\left(P\left(\frac{d}{d\psi}\right)\right)C_n^{(d-2)/2}(\sin\psi)\,.$$

Then, like in 3.3, we obtain that we have $R_{\psi}^{P}f = 0$ if and only if

$$\left(P\left(\frac{d}{d\psi}\right)\right)C_n^{(d-2)/2}(\sin\psi)\neq 0$$

implies $Y_n(f) = 0$, where $\sum_{n=0}^{\infty} Y_n(f)$ is the Fourier expansion of f. \Box

Remark. By Lemma 3.1 and Theorem 3.4 $R_{\psi}^{(m)}$ and R_{ψ}^{P} are symmetric operators. Actually it is easily seen that their closures are self-adjoint operators in $L^{2}(S^{d-1})$, with domain $\{\sum Y_{n} \mid Y_{n} \text{ is a spherical harmonic of degree } n, \sum (1 + \lambda_{n}^{2}) \|Y_{n}\|^{2} < \infty\}$, and are given by $\sum Y_{n} \mapsto \sum \lambda_{n}Y_{n}$, where the λ_{n} are the corresponding eigenvalues.

4. The null-spaces of the operators $R^{(m)}$ and R^P

Now, for $d \geq 2$, we turn to the case of general $m \geq 1$, and investigate the null-space of $R^{(m)}: C^m(S^{d-1}) \to C(S^{d-1})$.

For d = 2 the complete answer is given by

Proposition 4.1. Let d = 2 and $m \ge 1$. Then the null-space of the operator $R^{(m)}: C^m$ $(S^{d-1}) \to C(S^{d-1})$ equals for m odd (even) $\{f \in C^m(S^{d-1}) \mid f \text{ is even } (f \text{ is the } f)\}$

 $(S^{a-1}) \to C(S^{a-1})$ equals for m odd (even) $\{f \in C^m(S^{a-1}) \mid f \text{ is even } (f \text{ is the sum of an odd function and a constant})\}.$

Proof. By 3.3, we have that $f \in C^m(S^{d-1})$ satisfies $R^{(m)}f = 0$ if and only if $(d/d\psi)^m C_n^0$

 $(\sin \psi)|_{\psi=0} \neq 0$ implies $Y_n(f) = 0$. However, by [Müller, 1966], p. 33, we have for some $a_n \neq 0$ that $C_n^0(\sin \psi) = C_n^0[\cos(\pi/2 - \psi)] = a_n \cos[n(\pi/2 - \psi)]$. A straightforward calculation gives then that

$$\left(\frac{d}{d\psi}\right)^m \cos[n(\pi/2 - \psi)]\Big|_{\psi=0}$$

equals 0 if n = 0 or if n - m is odd, and equals $(-1)^{\lfloor n/2 \rfloor} (-1)^{\lfloor m/2 \rfloor} n^m \neq 0$ if n - m is even and $n \ge 1$. Then 3.3 implies our statement. \Box

Lemma 4.2. Let $d \ge 3$ and $m \ge 1$. Then there exists a polynomial $\Gamma_{d,m,n}$ of n, of degree m, such that for n - m even we have

$$\left(\frac{d}{d\psi}\right)^m C_n^{(d-2)/2}(\sin\psi)\Big|_{\psi=0} = 0$$

if and only if $\Gamma_{d,m,n} = 0$. We have $(\Gamma_{d,0,n} = 1,)$ $\Gamma_{d,1,n} = n + d - 3$, $\Gamma_{d,2,n} = n(n+d-3)$ and $\Gamma_{d,3,n} = (n+d-3)(n^2 + (d-2)n - (d-2))$.

Proof. By [Tricomi, 1955], p. 182, for $d \ge 3$ we have

$$C_n^{(d-2)/2}(\sin\psi) = C_n^{(d-2)/2}[\cos(\pi/2 - \psi)] =$$

(-1)ⁿ $\sum_{i=0}^n {\binom{-d/2+1}{i}} {\binom{-d/2+1}{n-i}} \cos[(n-2i)(\pi/2 - \psi)]$

Using

$$\left(\frac{d}{d\psi}\right)^m \cos\psi = \cos(\psi + m\pi/2),$$

we have for n - m even that

$$\gamma_{d,m,n} := \left(\frac{d}{d\psi}\right)^m C_n^{(d-2)/2}(\sin\psi)\Big|_{\psi=0} =$$

$$(-1)^{n} \sum_{i=0}^{n} \binom{-d/2+1}{i} \binom{-d/2+1}{n-i} [-(n-2i)]^{m} \cos[(n-2i)\pi/2 + m\pi/2)] = (-1)^{n} (-1)^{(n+m)/2} \sum_{i=0}^{n} (-1)^{i} \binom{-d/2+1}{i} \binom{-d/2+1}{n-i} (2i-n)^{m}.$$

Here $(2i-n)^m = \sum_{p=0}^m (-1)^p {m \choose p} 2^{m-p} n^p i^{m-p}$, and

$$i^{m-p} = \sum_{q=0}^{m-p} c_{m-p,q} {i \choose q} q!,$$

for some constants $c_{m-p,q}$, where $c_{m-p,m-p} = 1$. Hence

$$(2i-n)^{m} = \sum_{q=0}^{m} f_{m,q}(n) \binom{i}{q} q!,$$

where

$$f_{m,q}(n) = \sum_{p=0}^{m-q} (-1)^p \binom{m}{p} 2^{m-p} c_{m-p,q} n^p.$$

Therefore

$$\gamma_{d,m,n} = (-1)^n (-1)^{(n+m)/2} \sum_{q=0}^m f_{m,q}(n) \delta_{d,n,q},$$

where

$$\delta_{d,n,q} = \sum_{i=0}^{n} (-1)^{i} \binom{-d/2+1}{i} \binom{-d/2+1}{n-i} \binom{i}{q} q!.$$

Here $\delta_{d,n,q}$ is the coefficient of x^n in the power series expansion of

$$\begin{aligned} x^q \left[\left(\frac{d}{dx}\right)^q (1-x)^{(2-d)/2} \right] (1+x)^{(2-d)/2} &= \\ (-1)^q \left(-\frac{d}{2}+1\right) \left(-\frac{d}{2}\right) \dots \left(-\frac{d}{2}-q+2\right) x^q (1-x^2)^{-d/2-q+1} (1+x)^q &= \\ (-1)^q \left(-\frac{d}{2}+1\right) \left(-\frac{d}{2}\right) \dots \left(-\frac{d}{2}-q+2\right) x^q \sum_{k=0}^{\infty} (-1)^k \binom{-d/2-q+1}{k} x^{2k} \sum_{l=0}^q \binom{q}{l} x^l, \end{aligned}$$
 i.e.,

• ,

$$\delta_{d,n,q} = (-1)^q \left(-\frac{d}{2} + 1 \right) \left(-\frac{d}{2} \right) \dots \left(-\frac{d}{2} - q + 2 \right) \times \sum_{\substack{k \ge 0, \ 0 \le l \le q, \ 2k+l=n-q}} (-1)^k \binom{-d/2 - q + 1}{k} \binom{q}{l}.$$

Here in the last summation we have $2k = n - q - l \in [n - 2q, n - q]$, thus $\lfloor n/2 \rfloor - q \leq l \leq n - q - l \in [n - 2q, n - q]$. $k \leq \lfloor (n-q)/2 \rfloor \leq \lfloor n/2 \rfloor$. Therefore we introduce the notation $k = \lfloor n/2 \rfloor - j$; then $0 \leq \lfloor n/2 \rfloor - \lfloor (n-q)/2 \rfloor = q/2 + \delta \leq j \leq q - \varepsilon$. Here $\delta = 0$ for q even, $\delta = 1/2$ or -1/2 for q odd and n even or odd, respectively, and $\varepsilon = 0$ for n even, $\varepsilon = 1$ for n odd. Furthermore, $l = n - 2k - q = \varepsilon + 2j - q$.

We have

$$\delta_{d,n,q} \begin{pmatrix} -d/2+1\\ \lfloor n/2 \rfloor \end{pmatrix}^{-1} = \sum_{q/2+\delta \le j \le q-\varepsilon, \ j \le \lfloor n/2 \rfloor} \delta_{d,n,q,j},$$

where

$$\delta_{d,n,q,j} = (-1)^q \left(-\frac{d}{2} + 1 \right) \left(-\frac{d}{2} \right) \dots \left(-\frac{d}{2} - q + 2 \right) \times$$
$$(-1)^{\lfloor n/2 \rfloor - j} \binom{-d/2 - q + 1}{\lfloor n/2 \rfloor - j} \binom{-d/2 + 1}{\lfloor n/2 \rfloor}^{-1} \binom{q}{\varepsilon + 2j - q} =$$

On an integro-differential transform on the sphere

$$(-1)^{\lfloor n/2 \rfloor} (-1)^{q-j} \left(-\frac{d}{2} + 1 \right) \left(-\frac{d}{2} \right) \dots \left(-\frac{d}{2} - q + 2 \right) \times \\ \left(-\frac{d}{2} - q + 1 \right) \dots \left(-\frac{d}{2} - q - \left\lfloor \frac{n}{2} \right\rfloor + j + 2 \right) \times \\ \left(\left\lfloor \frac{n}{2} \right\rfloor - j \right)!^{-1} \left(-\frac{d}{2} + 1 \right)^{-1} \dots \left(-\frac{d}{2} - \left\lfloor \frac{n}{2} \right\rfloor + 2 \right)^{-1} \left\lfloor \frac{n}{2} \right\rfloor! \left(\frac{q}{\varepsilon + 2j - q} \right) = \\ (-1)^{\lfloor n/2 \rfloor} (-1)^{q-j} \left(-\frac{d}{2} - \left\lfloor \frac{n}{2} \right\rfloor + 1 \right) \dots \left(-\frac{d}{2} - \left\lfloor \frac{n}{2} \right\rfloor - q + j + 2 \right) \times \\ \left\lfloor \frac{n}{2} \right\rfloor \dots \left(\left\lfloor \frac{n}{2} \right\rfloor - j + 1 \right) \left(\frac{q}{\varepsilon + 2j - q} \right) = (-1)^{\lfloor n/2 \rfloor} g_{d,q,j}(n) \,,$$

where $g_{d,q,j}(n)$ equals, for *n* having the parity of *m*, a polynomial of *n*, of degree (q-j)+j=q, and of leading coefficient $2^{-q} \binom{q}{\varepsilon+2j-q}$. However, for $0 \leq \lfloor n/2 \rfloor < j$ we have $g_{d,q,j}(n) = 0$, thus

$$\delta_{d,n,q} \begin{pmatrix} -d/2+1\\ \lfloor n/2 \rfloor \end{pmatrix}^{-1} = (-1)^{\lfloor n/2 \rfloor} \sum_{j=q/2+\delta}^{q-\varepsilon} g_{d,q,j}(n) \, .$$

Collecting these results, we have

$$(-1)^{n}(-1)^{(n+m)/2}(-1)^{\lfloor n/2 \rfloor}\gamma_{d,m,n} {\binom{-d/2+1}{\lfloor n/2 \rfloor}}^{-1} = (-1)^{\lfloor n/2 \rfloor} \sum_{q=0}^{m} f_{m,q}(n)\delta_{d,n,q} {\binom{-d/2+1}{\lfloor n/2 \rfloor}}^{-1} = \sum_{q=0}^{m} f_{m,q}(n) \sum_{j=q/2+\delta}^{q-\varepsilon} g_{d,q,j}(n) := \Gamma_{d,m,n} \,.$$

Here each $f_{m,q}(n)$ or $g_{d,q,j}(n)$ equals, for n having the parity of m, a polynomial of n, of degree m-q or q, respectively. Moreover, δ and ε in the bounds of the inner summation only depend on the parities of n and q. Therefore $\Gamma_{d,m,n}$ equals, for n having the parity of m, a polynomial of n, of degree $\leq m$. Furthermore, we have $\gamma_{d,m,n} = 0$ if and only if $\Gamma_{d,m,n} = 0$.

Now we show that $\Gamma_{d,m,n}$ equals, for *n* having the parity of *m*, a polynomial of *n*, of degree exactly *m*. More exactly, we show that its leading coefficient is 1. We have

$$\Gamma_{d,m,n} = \sum_{q=0}^{m} f_{m,q}(n) \sum_{j=q/2+\delta}^{q-\varepsilon} g_{d,q,j}(n) \,.$$

Here, by $c_{q,q} = 1$, the leading term of $f_{m,q}(n)$ is $(-1)^{m-q} \binom{m}{q} 2^q n^{m-q}$. Similarly, the leading term of $g_{d,q,j}(n)$ is $2^{-q} \binom{q}{\varepsilon+2j-q} n^q$. Hence the coefficient of n^m in $\Gamma_{d,m,n}$ is

$$\sum_{q=0}^{m} (-1)^{m-q} \binom{m}{q} \sum_{j=q/2+\delta}^{q-\varepsilon} \binom{q}{\varepsilon+2j-q}.$$

A small discussion, taking into consideration the parities of m and q, shows that this equals

$$\sum_{q=0}^{m} (-1)^{m-q} \binom{m}{q} \sum_{0 \le \varepsilon + 2j - q \le q} \binom{q}{\varepsilon + 2j - q} = \sum_{q=1}^{m} (-1)^{m-q} \binom{m}{q} 2^{q-1} + \frac{1}{2} + (-1)^m \frac{1}{2} = (2-1)^m \frac{1}{2} - (-1)^m \frac{1}{2} + \frac{1}{2} + (-1)^m \frac{1}{2} = 1.$$

The above considerations show that, for any given m, we can evaluate $\gamma_{d,m,n}$ for all n. Performing the above calculations for $m \leq 3$, we obtain the formulas for $\Gamma_{d,m,n}$, given in the lemma. \square

Using the above lemma we prove the following theorem, that is more or less a generalization of the last statement of Theorem 3.8 of [Makai–Martini–Ódor].

Theorem 4.3. Let $d \ge 3$ be a fixed integer. Then, for any integer $m \ge 1$, there exists a set $A_{(m)}$ of non-negative integers of the same parity as m, with cardinality $|A_{(m)}| \le m$, such that the following holds. The null-space of the operator $R^{(m)}: C^m(S^{d-1}) \to C(S^{d-1})$ equals $\{f \in C^m(S^{d-1}) \mid f \text{ is of the form} f = g + \sum_{n \in A_{(m)}} Y_n$, where g is even (odd) for m odd (even), and Y_n is a spherical harmonic of degree n}. In particular, for m = 2, 3 we have $A_{(2)} = \{0\}$, $A_{(3)} = \emptyset$.

Proof. By 3.3, we have that $f \in C^m(S^{d-1})$ satisfies $R^{(m)}f = 0$ if and only if, for the Fourier expansion $\sum_{n=0}^{\infty} Y_n(f)$ of f, we have that $\gamma_{d,m,n} := (d/d\psi)^m C_n^{(d-2)/2}(\sin\psi)\Big|_{\psi=0} \neq 0$ implies $Y_n(f) = 0$. Since C_n is odd (even) for n odd (even), therefore for n-m odd we have $\gamma_{d,m,n} = 0$. So we only need to consider the case n-m even. Then by 4.2 we have $\gamma_{d,m,n} = 0$ if and only if $\Gamma_{d,m,n} = 0$, and $\Gamma_{d,m,n}$ equals, for n having the parity of m, a polynomial of n, of degree m.

We let $A_{(m)} = \{n \mid n \ge 0 \text{ is an integer}, n-m \text{ is even}, \Gamma_{d,m,n} = 0\}$. Then $\{f \in C^m (S^{d-1}) \mid R^{(m)}f = 0\} = \{f \in C^m (S^{d-1}) \mid f = \sum \{Y_n(f) \mid n \ge 0 \text{ is an integer}, and either <math>2 \nmid (n-m), \text{ or } (2 \mid (n-m) \text{ and } n \in A_{(m)})\}\}$.

For the cases m = 2, 3, we consider the equation $\Gamma_{d,m,n} = 0$ from 4.2. For m = 2 its only non-negative even root is n = 0. Now let m = 3 and $n \ge 0$ odd. Then we have $n + d - 3 \ge 1$. Furthermore, the discriminant of $n^2 + (d - 2)n - (d - 2)$ is $d^2 - 4$, that is not a perfect square for $d \ge 3$, thus the roots of this polynomial are irrational. \Box

Theorem 4.4. Let $d \ge 2$ be a fixed integer. Let $m \ge 1$ be an integer, P a polynomial of degree m, and $\psi = 0$. If P is odd (even) for m odd (even), then there exists a set A_P of non-negative integers of the same parity as m, with cardinality $|A_P| \le m$, such that the following holds. The null-space of the operator $R^P = R_0^P$ equals $\{f \in C^m(S^{d-1}) \mid f \text{ is of the form } f = g + \sum_{n \in A_P} Y_n, \text{ where } g \text{ is even (odd)} for <math>m$ odd (even), and Y_n is a spherical harmonic of degree $n\}$.

Proof. Suppose that $P = P(t) = \sum_{r=0}^{m} c_r t^r$ is such as given in the theorem. Then we have $(P(d/d\psi)) C_n^{(d-2)/2} (\sin \psi)|_{\psi=0} = 0$ for n - m odd. Now let us suppose

that n-m is even. First we suppose that $d \ge 3$. We have, like at 4.3 and 4.2,

$$\left(P\left(\frac{d}{d\psi}\right)\right)C_n^{(d-2)/2}(\sin\psi)\Big|_{\psi=0} = \sum_{r=0}^m c_r\gamma_{d,r,n} =$$
$$(-1)^n(-1)^{\lfloor n/2 \rfloor} \binom{-d/2+1}{\lfloor n/2 \rfloor} \sum_{r=0}^m c_r(-1)^{(n+r)/2}\Gamma_{d,r,n}$$

(observe that $n \equiv r \pmod{2}$). This expression equals 0 if and only if the last sum equals 0. Furthermore, this last sum equals an *m*-th degree polynomial of *n*, if n - m is even. Now let A_P be the set of those non-negative integer roots *n* of this polynomial, for which n - m is even. Then, like in 4.3, the statement of the theorem holds for this set A_P .

Now let d = 2. Then we have from the proof of 4.1, with the same $a_n \neq 0$,

$$\left(P\left(\frac{d}{d\psi}\right)\right)C_n^0(\sin\psi)\Big|_{\psi=0} = a_n(-1)^{\lfloor n/2 \rfloor}\sum_{r=0}^m c_r(-1)^{\lfloor r/2 \rfloor}n^r.$$

Then we define A_P using this last sum, like above, and again the statement of the theorem holds for this set A_P . \Box

Remark. Possibly the space $C^m(S^{d-1})$ can be replaced by a suitable Sobolev class or by a suitable space of distributions, still yielding similar results.

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*, **: Alfréd Rényi Mathematical Institute, Hungarian Academy of Sciences, P.O.B. 127, H-1364 Budapest, Hungary; Technische Universität Chemnitz, Fakultät für Mathematik, D-09107 Chemnitz, Ger-Many

E-mail address: makai@renyi.hu, martini@mathematik.tu-chemnitz.de, odor@renyi.hu