

# ON RADICALS OF POLYNOMIAL RINGS

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ABSTRACT. In this paper we investigate connections in the behaviour of a ring and the polynomial rings over it with respect to a given radical.

## 1. INTRODUCTION

In this paper all rings are associative, not necessarily with an identity. Let  $A$  be a ring and  $X$  be a (possibly infinite) set of commuting indeterminates over  $A$ . We will consider the polynomial ring  $A[X]$  over  $A$ ; if  $X = \{x\}$  then we write  $A[x]$  in place of  $A[\{x\}]$ . Marks [8] called a ring **NI** if the set of its nilpotent elements is an ideal. Smoktunowicz [10] constructed an **NI** ring over which the polynomial ring is not **NI**. Han, Lee and Yang [6] called a ring polynomial **NI** if  $R[X]$  is **NI** for every finite set  $X$  of commuting indeterminates, and investigated **NI** and polynomial **NI** rings. Our aim in the present paper is to extend a part of their results from **N** to an arbitrary radical **R** in the sense of Kurosh and Amitsur.

For undefined notions and basic results in radical theory we refer to [4]. The semisimple class of a radical class **R** will be denoted by  $\mathcal{SR}$ .

## 2. DEFINITIONS AND EXAMPLES

*DEFINITION 1.* For an arbitrary radical **R**, a ring  $A$  is said to be **RI** if  $\mathbf{R}(A)$  contains all subrings  $S \subseteq A$  such that  $S \in \mathbf{R}$ , and **R-reduced** if it has no non-zero subring  $S$  such that  $S \in \mathbf{R}$ . (If **R** is the nil radical then the **R-reduced** rings are exactly the reduced rings.) Denote by  $\mathbf{R}^*(A)$  the sum of all subrings  $S \subseteq A$  such that  $S \in \mathbf{R}$ .

The following can be considered as a reformulation of an observation of McConnell [9, Proposition 1.2] (see conditions (ii) and (iii) there), so we give it here without proof.

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**PROPOSITION 1** (cf. [9, Proposition 1.2]). *For a ring  $A$  and a radical  $\mathbf{R}$ , the following are equivalent.*

- (i)  $A$  is an  $\mathbf{RI}$  ring.
- (ii)  $\mathbf{R}(A) = \mathbf{R}^*(A)$ .
- (iii)  $A/\mathbf{R}(A)$  is an  $\mathbf{R}$ -reduced ring. □

**DEFINITION 2.** A ring  $A$  is said to be *polynomial  $\mathbf{RI}$*  if  $A[X]$  is  $\mathbf{RI}$  for every finite set  $X$  of commuting indeterminates.

Clearly, if  $A[X]$  is  $\mathbf{RI}$  for a finite set  $X$  and  $Y$  is a subset of  $X$  then  $A[Y]$  is also  $\mathbf{RI}$ . In particular, if a ring  $A$  is polynomial  $\mathbf{RI}$  for a radical  $\mathbf{R}$  then  $A$  is  $\mathbf{RI}$ .

Next we present examples of  $\mathbf{RI}$  rings and polynomial  $\mathbf{RI}$  rings.

**NOTATION.** The following symbols will be used:

- $\mathbf{B}$  is the Baer (prime) radical,
- $\mathbf{L}$  is the Levitzki radical,
- $\mathbf{N}$  is the Köthe (nil) radical,
- $\mathbf{J}$  is the Jacobson radical,
- $\mathbf{G}$  is the Brown–McCoy radical.

It is well known that  $\mathbf{B} \subset \mathbf{L} \subset \mathbf{N} \subset \mathbf{J} \subset \mathbf{G}$ , where all inclusions are strict.

*Example 1.* Every zero ring  $A^0$  is polynomial  $\mathbf{RI}$  for any radical  $\mathbf{R}$ .

Indeed, let  $S^0 \subseteq A^0$ ,  $S^0 \in \mathbf{R}$ . Since  $S^0 \triangleleft A^0$ , we have  $S^0 \subseteq \mathbf{R}(A^0)$ . Thus we obtain  $\mathbf{R}^*(A^0) \subseteq \mathbf{R}(A^0)$ , so  $A^0$  is an  $\mathbf{RI}$  ring by Proposition 1. Next, for any finite set  $X$  and any natural number  $n$ ,  $A^0[X]$  is also a zero ring, hence it is an  $\mathbf{RI}$  ring, and thus  $A^0$  is a polynomial  $\mathbf{RI}$  ring.

Recall that a radical  $\mathbf{R}$  is said to be *strict* if, for every ring  $A$ ,  $\mathbf{R}(A)$  contains all subrings  $S \subseteq A$  such that  $\mathbf{R}(S) = S$ . Clearly, a radical  $\mathbf{R}$  is strict if and only if every ring  $A$  is  $\mathbf{RI}$ .

*Example 2.* For a strict radical  $\mathbf{R}$ , every ring  $A$  is polynomial  $\mathbf{RI}$ . In particular, this holds for any  $A$ -radical  $\mathbf{R}$  in the sense of Gardner [3].

Indeed, if  $X$  is a finite set of commuting indeterminates and  $\mathbf{R}(S) = S \subseteq A[X]$ , then  $S \subseteq \mathbf{R}(A[X])$  by the strictness of  $\mathbf{R}$ .

*Example 3.* Let  $\mathbb{Q}$  be the rational number field and  $\mathcal{U}(\mathbb{Q})$  be the upper radical of  $\mathbb{Q}$  (the largest radical for which  $\mathbb{Q}$  is semisimple). Let  $\mathbf{R}$  be any radical such that  $\mathbf{J} \subseteq \mathbf{R} \subseteq \mathcal{U}(\mathbb{Q})$ . Then  $\mathbb{Q}$  is not an  $\mathbf{RI}$  ring.

Indeed, take the set  $J$  of all rational numbers with even numerator and odd denominator.  $J$  is obviously a ring and, for any  $a = \frac{2k}{2m+1} \in J$ , it is straightforward to check that  $b = \frac{a}{a-1} = \frac{2k}{2(k-m)-1} \in J$ , and  $b$  is a solution of the equation  $a \circ b =: a + b - ab = 0$ . Hence  $(J, \circ)$  is a group, that is,  $J$  is a Jacobson radical ring. Thus  $J \in \mathbf{J} \subseteq \mathbf{R} \subseteq \mathcal{U}(\mathbb{Q})$ . Hence  $0 \neq J = \mathbf{R}(J)$ , and  $\mathbf{R}(\mathbb{Q}) = 0$ . Therefore  $\mathbb{Q}$  is not an  $\mathbf{RI}$  ring.

*Example 4.*  $\mathbb{Q}$  is a polynomial  $\mathbf{RI}$  ring for any radical  $\mathbf{R}$  such that  $\mathbf{B} \subseteq \mathbf{R} \subseteq \mathbf{N}$ .

Clearly,  $\mathbf{N}(\mathbb{Q}[X]) = 0$  because  $\mathbb{Q}[X]$  is a reduced ring. Therefore  $\mathbf{R}(\mathbb{Q}[X]) = 0$ . And since  $\mathbb{Q}[X]$  has no non-zero nilpotent elements, if  $S = \mathbf{R}(S) \in \mathbf{N}$  for a subring  $S$  of  $\mathbb{Q}[X]$  then  $S = 0$ . Thus  $\mathbb{Q}$  is a polynomial **RI** ring.

The following is clear.

*Example 5.* The matrix ring  $M_n(F)$  over an arbitrary field  $F$  ( $n \geq 2$ ) is not a polynomial **RI** ring for any radical  $\mathbf{R}$  such that  $\mathbf{B} \subseteq \mathbf{R} \subseteq \mathcal{U}(M_n(F))$ .

The next two examples are taken from [6].

*Example 6.* Let  $F$  be a field,  $\mathbb{Z}$  be the ring of integers and  $\{t_n \mid n \in \mathbb{Z}\}$  be commuting indeterminates over  $F$ . Set

$$A = F[\{t_n\}_{n \in \mathbb{Z}}] / (\{t_{n_1} t_{n_2} t_{n_3} \mid n_3 - n_2 = n_2 - n_1 > 0\})$$

and  $R = A[x, \sigma]$ , the skew polynomial ring in one indeterminate  $x$  over  $A$ , where  $\sigma$  is the  $F$ -automorphism of  $A$  satisfying  $\sigma(t_n) = t_{n+1}$  for all  $n \in \mathbb{Z}$ . Then  $R$  is polynomial **NI**.

*Example 7.* Smoktunowicz [10, Theorem 12] constructed a ring  $R$  (in fact, an algebra over an arbitrary countable field) such that  $A$  is nil but the polynomial ring  $A[x, y]$  in two commuting indeterminates is not nil. Hence  $A$  is **NI** but not polynomial **NI**. (If we want a ring with identity with the same property then we can take the Dorroh extension of  $A$  with  $\mathbb{Z}$ .) On the other hand, by Example 2 above,  $A$  is polynomial **RI** for any strict radical  $\mathbf{R}$ .

*DEFINITION 3.* Let  $\mathbf{R}$  be an arbitrary radical and  $x_1, x_2, \dots, x_n, \dots$  be commuting indeterminates. Put  $\mathbf{R}_n = \{A \mid A[x_1, \dots, x_n] \in \mathbf{R}\}$ . Clearly,  $\mathbf{R} = \mathbf{R}_0 \supseteq \mathbf{R}_1 \supseteq \dots \supseteq \mathbf{R}_n \supseteq \dots$ . Gardner [2] proved that each  $\mathbf{R}_n$  ( $n = 0, 1, 2, \dots$ ) is a radical.

*DEFINITION 4.* For an arbitrary radical  $\mathbf{R}$ , a ring  $A$  is said to be an *absolute  $\mathbf{R}$ -ring* if  $A[x_1, \dots, x_n] \in \mathbf{R}$  for all  $n \geq 0$ , hence for the class  $\overline{\mathbf{R}}$  of all absolute  $\mathbf{R}$ -rings we have  $\overline{\mathbf{R}} = \bigcap_{n \in \mathbb{N}} \mathbf{R}_n$ , and  $\overline{\mathbf{R}}$  is a radical class.

*DEFINITION 5.* A class  $\mathcal{M}$  of rings is said to be *polynomially extensible* if  $A[x] \in \mathcal{M}$  for all rings  $A \in \mathcal{M}$ .

The following notion was introduced in [15].

*DEFINITION 6.* Let  $\mathbf{R}$  be a radical,  $\kappa$  be a cardinal number and  $X$  be a set of commuting indeterminates of cardinality  $\kappa$ . To indicate the latter, we write  $X_\kappa$  for  $X$ ; to allow a unified treatment, we also write  $X_0$  for the empty set. We say that  $\mathbf{R}$  has the  *$\kappa$ -Amitsur property* if, for all rings  $A$ ,

$$\mathbf{R}(A[X_\kappa]) = (A \cap \mathbf{R}(A[X_\kappa]))[X_\kappa].$$

For  $\kappa = 1$  we say that  $\mathbf{R}$  has the *Amitsur property*.

By [15, Proposition 2.6], if a radical  $\mathbf{R}$  has the  $\kappa$ -Amitsur property for some cardinal  $\kappa$  then it has the  $\lambda$ -Amitsur property for all  $\lambda$  with  $\kappa \leq \lambda$ .

## 3. RESULTS

We start with a result on strict radicals.

**THEOREM 2.** *For a strict radical  $\mathbf{R}$ , the following are equivalent.*

- (i)  $\mathbf{R}(A[x]) = \mathbf{R}(A)[x]$  for every ring  $A$ .
- (ii)  $\mathbf{R}$  has the Amitsur property.
- (iii)  $\mathbf{SR}$  is polynomially extensible.

*Proof.* (i)  $\implies$  (ii): Krempa [7, Theorem 1] observed that  $\mathbf{R}$  has the Amitsur property if and only if  $(A \cap \mathbf{R}(A[x])) = 0$  implies  $\mathbf{R}(A[x]) = 0$ . Now,  $\mathbf{R}(A)$  is a radical subring of  $\mathbf{R}(A)[x]$  hence, by condition (i), also of  $\mathbf{R}(A[x])$ , so  $\mathbf{R}(A) \subseteq \mathbf{R}(A[x])$  since  $\mathbf{R}$  is strict. Therefore  $\mathbf{R}(A) \subseteq A \cap \mathbf{R}(A[x])$ , hence if the latter is zero then also  $\mathbf{R}(A) = 0$ , and then  $\mathbf{R}(A[x]) = \mathbf{R}(A)[x] = 0$  as well.

(ii)  $\implies$  (i): As we have seen just before,  $\mathbf{R}(A) \subseteq A \cap \mathbf{R}(A[x])$  and, since  $\mathbf{R}$  is strict,  $\mathbf{R}(A)[x] \subseteq \mathbf{R}(A[x])$ . By the Amitsur property,  $(A \cap \mathbf{R}(A[x]))[x] = \mathbf{R}(A[x]) \in \mathbf{R}$ , and then also  $A \cap \mathbf{R}(A[x]) \in \mathbf{R}$ , being a homomorphic image of  $(A \cap \mathbf{R}(A[x]))[x]$ . Clearly,  $A \cap \mathbf{R}(A[x])$  is an ideal of  $A$ , whence  $A \cap \mathbf{R}(A[x]) \subseteq \mathbf{R}(A)$ . So we have

$$\mathbf{R}(A)[x] \subseteq \mathbf{R}(A[x]) = (A \cap \mathbf{R}(A[x]))[x] \subseteq \mathbf{R}(A)[x]$$

which yields  $\mathbf{R}(A[x]) = \mathbf{R}(A)[x]$ .

(ii)  $\iff$  (iii): Stewart [12, Proposition 3.1] proved that every strict radical is polynomially extensible, and by [14, Theorem 3.6] a radical  $\mathbf{R}$  is polynomially extensible and has the Amitsur property if and only if both  $\mathbf{R}$  and  $\mathbf{SR}$  are polynomially extensible, which gives the equivalence of conditions (ii) and (iii).  $\square$

*Remark.* Stewart [12] constructed a strict radical  $\mathbf{R}$  such that  $\mathbf{R}(A[x]) \neq \mathbf{R}(A)[x]$  for some ring  $A$ , so not every strict radical has the Amitsur property.

For what comes next, the following observation of Divinsky and Suliński will be needed. Notice that the ring  $\mathbb{Z}[X_\kappa]$  of polynomials with integer coefficients operates on  $A[X_\kappa]$  by multiplication in the obvious way.

**PROPOSITION 3** (cf. [1, Theorem]). *Let  $\mathbf{R}$  be a radical and  $X_\kappa$  be a set of commuting indeterminates. For any polynomial  $f \in \mathbb{Z}[X_\kappa]$ , we have  $f\mathbf{R}(A[X_\kappa]) \subseteq \mathbf{R}(A[X_\kappa])$ .  $\square$*

**THEOREM 4.** *Let  $\mathbf{R}$  be a radical with the Amitsur property, and  $A$  be any ring. The following conditions are equivalent:*

- (i)  $A$  is polynomial  $\mathbf{RI}$ .
- (ii) For every natural number  $n \geq 0$ ,  $\mathbf{R}(A[X_n]) = \mathbf{R}^*(A[X_n]) = \mathbf{R}(A)[X_n] = \mathbf{R}^*(A)[X_n]$ .
- (iii) For every natural number  $n \geq 0$ ,  $A[X_n]/\mathbf{R}(A[X_n])$  is  $\mathbf{R}$ -reduced.
- (iv) For every natural number  $n \geq 0$ ,  $A[X_n]$  is  $\mathbf{RI}$ .
- (v)  $\mathbf{R}(A)$  is an absolute  $\mathbf{R}$ -ring and, for every natural number  $n \geq 0$ ,  $\frac{A}{\mathbf{R}(A)}[X_n]$  is  $\mathbf{R}$ -reduced.

*Proof.* (i)  $\implies$  (ii): Since  $A$  is polynomial  $\mathbf{RI}$ ,  $A[X_n]$  is  $\mathbf{RI}$ , hence by Proposition 1,  $\mathbf{R}(A[X_n]) = \mathbf{R}^*(A[X_n])$ . Clearly,  $\mathbf{R}(A) \in \mathbf{R}$  is a subring of  $A[X_n]$ . Since  $A[X_n]$  is  $\mathbf{RI}$ , we have  $\mathbf{R}(A) \subseteq \mathbf{R}(A[X_n])$  and also  $\mathbf{R}^*(A) \subseteq \mathbf{R}(A[X_n])$ . By Lemma 3,  $\mathbf{R}(A)[X_n] \subseteq \mathbf{R}(A[X_n])$  and also  $\mathbf{R}^*(A)[X_n] \subseteq \mathbf{R}(A[X_n])$ . Now we have

$$\mathbf{R}(A)[X_n] \subseteq \mathbf{R}^*(A)[X_n] \subseteq \mathbf{R}(A[X_n]) = \mathbf{R}^*(A[X_n]).$$

Since  $\mathbf{R}$  has the Amitsur property, it has also the  $n$ -Amitsur property, therefore  $\mathbf{R}(A[X_n]) = (A \cap \mathbf{R}(A[X_n]))[X_n]$ . Clearly,  $A \cap \mathbf{R}(A[X_n]) \in \mathbf{R}$ , so  $A \cap \mathbf{R}(A[X_n]) \subseteq \mathbf{R}(A)$ . Thus  $\mathbf{R}(A)[X_n] \supseteq \mathbf{R}(A[X_n])$ , and we have proved all the equalities in condition (ii).

(ii)  $\implies$  (iii): Since  $\mathbf{R}(A[X_n]) = \mathbf{R}^*(A[X_n])$ , every  $\mathbf{R}$ -radical subring of  $A[X_n]$  is in  $\mathbf{R}(A[X_n])$ . Therefore  $A[X_n]/\mathbf{R}(A[X_n])$  has no non-zero radical subring, as required.

(iii)  $\implies$  (iv): Since  $A[X_n]/\mathbf{R}(A[X_n])$  is  $\mathbf{R}$ -reduced, every  $\mathbf{R}$ -radical subring of  $A[X_n]$  is in  $\mathbf{R}(A[X_n])$ . Thus  $A[X_n]$  is  $\mathbf{R}\mathbf{I}$ .

(iv)  $\implies$  (i): Clear by definition.

(ii)  $\implies$  (v): From  $\mathbf{R}(A[X_n]) = \mathbf{R}(A)[X_n]$ ,  $\mathbf{R}(A)$  is an absolute  $\mathbf{R}$ -ring. From  $\mathbf{R}^*(A[X_n]) = \mathbf{R}(A)[X_n]$  we have that  $\frac{A[X_n]}{\mathbf{R}(A)[X_n]}$  is  $\mathbf{R}$ -reduced. But

$$\frac{A[X_n]}{\mathbf{R}(A)[X_n]} \cong \frac{A}{\mathbf{R}(A)}[X_n],$$

whence the latter ring is also  $\mathbf{R}$ -reduced.

(v)  $\implies$  (i): Since  $\mathbf{R}(A)$  is an absolute  $\mathbf{R}$ -ring,  $\mathbf{R}(A)[X_n]$  is a radical ideal of  $A[X_n]$ . Thus  $\mathbf{R}(A)[X_n] \subseteq \mathbf{R}(A[X_n])$ , and then as above,  $\mathbf{R}(A)[X_n] = \mathbf{R}(A[X_n])$  because  $\mathbf{R}$  has the Amitsur property. Therefore

$$\frac{A[X_n]}{\mathbf{R}(A[X_n])} = \frac{A[X_n]}{\mathbf{R}(A)[X_n]} \cong \frac{A}{\mathbf{R}(A)}[X_n],$$

and the last ring is  $\mathbf{R}$ -reduced.  $\square$

Han, Lee and Yang [6, Proposition 1.4] gave several equivalent conditions for a ring  $A$  to be polynomial  $\mathbf{NI}$ , under the condition that there is a common bound for the indices of nilpotency of the nilpotent elements of  $A$ . Using Theorem 4 above, we show that several of these conditions are equivalent without any restriction on the ring  $A$ .

**PROPOSITION 5.** *The following conditions on a ring  $A$  are equivalent:*

- (i)  $A$  is polynomial  $\mathbf{NI}$ .
- (ii)  $\mathbf{N}(A)$  is absolute nil and  $A/\mathbf{N}(A)$  is a reduced ring.
- (iii)  $A/\overline{\mathbf{R}}(A)$  is an  $\overline{\mathbf{R}}$ -reduced ring, where  $\mathbf{R}$  is any radical such that  $\overline{\mathbf{N}} \subseteq \mathbf{R} \subseteq \overline{\mathbf{J}}$ .
- (iv)  $A[X]$  is polynomial  $\mathbf{NI}$  for some set  $X$  of commuting indeterminates.

*Proof.* As is well known,  $\mathbf{N}$  has the Amitsur property, hence Theorem 4 applies.

(i)  $\implies$  (ii): By (v) of Theorem 4,  $\mathbf{N}(A)$  is absolute nil, and since  $(A/\mathbf{N}(A))[x_1, \dots, x_n]$  is reduced,  $A/\mathbf{N}(A)$  is also.

(ii)  $\implies$  (i): Since  $A/\mathbf{N}(A)$  is reduced,  $(A/\mathbf{N}(A))[X_n]$  is reduced for any  $n$ . Again by Theorem 4, our claim follows.

(ii)  $\iff$  (iii): Since  $\mathbf{N}(A)$  is absolute nil,  $\mathbf{N}(A) = \overline{\mathbf{N}}(A)$ . By [15, Proposition 2.12],  $\overline{\mathbf{R}}(A) = \overline{\mathbf{N}}(A) = \mathbf{N}(A)$ , and since  $A/\mathbf{N}(A)$  is reduced,  $A/\overline{\mathbf{R}}(A)$  is also. Hence (ii) and (iii) are equivalent.

(i)  $\iff$  (iv) is clear.  $\square$

The following question is asked in [6]:

*Question 1.* Let  $A$  be a ring such that  $A[x]$  is NI. Is then  $A$  polynomial NI?

Now it is natural to ask:

*Question 2.* Let  $A$  be a ring such that  $A[x]$  is RI for some radical  $\mathbf{R}$ . Is then  $A$  polynomial RI?

Let  $\mathbb{P}$  denote the class of all polynomial rings in one indeterminate. For any radical  $\mathbf{R}$  we consider the lower radical  $\mathbf{R}^1 = \mathcal{L}(\mathbf{R} \cap \mathbb{P})$  determined by the (homomorphic closure of) the class  $\mathbf{R} \cap \mathbb{P}$ .

**PROPOSITION 6.** *Suppose that, for a radical  $\mathbf{R}$ , Question 2 has a positive answer for every ring  $A$ . Then  $\mathbf{R}^1 = \mathbf{R}_1 = \mathbf{R}_2 = \dots$ .*

*Proof.* Let  $A$  be in  $\mathbf{R}_1$ , so that  $A[x] \in \mathbf{R}$ ; then by the assumption  $A[x, y] \in \mathbf{R}$ , and so  $A[x][y] \in \mathbf{R}$ . Hence  $A \in \mathbf{R}_2$ , thus  $\mathbf{R}_1 = \mathbf{R}_2$  and  $\mathbf{R}_1 = \mathbf{R}^1$ .  $\square$

**COROLLARY 7.** *Let  $\mathbf{R}$  be a radical such that  $\mathbf{R}^1 \neq \mathbf{R}_1$  or  $\mathbf{R}_1 \neq \mathbf{R}_2$ . Then Question 2 has a negative answer for some  $A$ .*  $\square$

**COROLLARY 8.** *If either  $\mathbf{R}^1 = \mathbf{R}_1$  or  $\mathbf{R}_1 = \mathbf{R}_2$  for a radical  $\mathbf{R}$ , then  $\mathbf{R}^1 = \mathbf{R}_1 = \mathbf{R}_2 = \dots$ .*  $\square$

*Example 8.* Question 2 has a negative answer for the Jacobson radical  $\mathbf{J}$ . Indeed, by Smoktunowicz and Puczyłowski [11, Theorem 4.1], there exists a ring  $A$  such that  $A[x] \in \mathbf{J} \setminus \mathbf{N}$ . So  $A \in \mathbf{J}_1$  but  $A \notin \mathbf{J}_2$  because the latter would mean  $A[x, y] \cong (A[x])[y] \in \mathbf{J}$  and, as is well known,  $B[y] \in \mathbf{J}$  implies  $B \in \mathbf{N}$  (see e.g. [4, Proposition 4.9.27]).

Gardner [2] asked whether the chain  $\mathbf{R} = \mathbf{R}_0 \supseteq \mathbf{R}_1 \supseteq \dots \supseteq \mathbf{R}_n \supseteq \dots$  terminates for every radical class  $\mathbf{R}$ . In this connection, Gardner [2] gives examples of radicals which show that  $\mathbf{R}_0 \not\supseteq \mathbf{R}_1 \not\supseteq \dots \not\supseteq \mathbf{R}_{n+1}$  may hold for any  $n$ . Finally, Gardner's question was answered in the negative by Tumurbat, Mendes and Mekei [13]: there exist radicals  $\mathbf{R}$  such that  $\mathbf{R}_0 \not\supseteq \mathbf{R}_1 \not\supseteq \dots \not\supseteq \mathbf{R}_n \not\supseteq \dots$ . For such radicals Question 2 has a negative answer.

Concerning Question 1, we have:

**PROPOSITION 9.** *Question 1 has a positive answer for every ring  $A$  if and only if either  $\mathbf{N}_1 = \mathbf{N}_2$  or  $\mathbf{N}_1 = \mathbf{N}^1$ .*

*Proof.*  $\implies$  follows from Proposition 6. To see  $\impliedby$ , notice first of all that the two conditions of equality are equivalent by Corollary 9, hence it suffices to consider only one of them. Let  $\mathbf{N}_1 = \mathbf{N}_2$ , and take any ring  $A$ . Since  $\mathbf{N}$  has the Amitsur property, we have  $\mathbf{N}(A[x]) = (A \cap \mathbf{N}(A[x]))[x]$ . Now,  $\frac{A[x]}{\mathbf{N}(A[x])} = \frac{A[x]}{(A \cap \mathbf{N}(A[x]))[x]}$ . Since  $A[x]$  is NI,  $\frac{A[x]}{\mathbf{N}(A[x])}$  is reduced, and  $\mathbf{N}(A) \subseteq A \cap \mathbf{N}(A[x]) \subseteq \mathbf{N}(A)$ . Therefore  $\mathbf{N}(A)[x] = \mathbf{N}(A[x]) \in \mathbf{N}$ , that is,  $\mathbf{N}(A) \in \mathbf{N}_1$ , so  $\mathbf{N}(A[x_1, \dots, x_n]) \in \mathbf{N}$ . Hence  $\mathbf{N}(A)$  is absolute nil, and by Proposition 5  $A$  is polynomial NI.  $\square$

**COROLLARY 10.** *Question 1 has a positive answer for every ring  $A$  if and only if, for every ring  $B$ ,  $B[x]$  nil implies  $B[x, y]$  nil.*  $\square$

**THEOREM 11.** *Let  $\mathbf{R}_1 \subseteq \mathbf{R}_2$  be radicals which satisfy the Amitsur property. If  $\overline{\mathbf{R}_1} = \overline{\mathbf{R}_2}$  and a ring  $A$  is polynomial  $\mathbf{R}_2I$ , then  $A$  is also polynomial  $\mathbf{R}_1I$ .*

*Proof.* Suppose that  $A$  is a polynomial  $\mathbf{R}_2I$  ring. Then by Theorem 4,  $\mathbf{R}_2(A)$  is an absolute  $\mathbf{R}_2$ -ring. Therefore

$$\mathbf{R}_2(A) = \overline{\mathbf{R}_2}(A) = \overline{\mathbf{R}_1}(A) \subseteq \mathbf{R}_1(A) \subseteq \mathbf{R}_2(A).$$

Thus  $\mathbf{R}_2(A) = \mathbf{R}_1(A)$ , hence  $\mathbf{R}_1(A)$  is an absolute  $\mathbf{R}_1$ -ring. Applying condition (v) in Theorem 4 to the radical  $\mathbf{R}_2$ , we obtain that  $\frac{A}{\mathbf{R}_2(A)}[X_n]$  is  $\mathbf{R}_2$ -reduced, and then  $\frac{A}{\mathbf{R}_1(A)}[X_n] = \frac{A}{\mathbf{R}_2(A)}[X_n]$  is an  $\mathbf{R}_1$ -reduced ring. Again by Theorem 4,  $A$  is a polynomial  $\mathbf{R}_1I$  ring.  $\square$

*Remark.* Without the condition  $\overline{\mathbf{R}_1} = \overline{\mathbf{R}_2}$ , the statement is not true. For example, consider the radicals  $\mathbf{L} \subseteq \mathbf{N}$ . By Golod [5], there exists a ring  $A$  such that  $0 \neq A \in \overline{\mathbf{N}}$  and  $\mathbf{L}(A) = 0$ . Hence  $\overline{\mathbf{L}} \neq \overline{\mathbf{N}}$ , and the ring  $A$  is polynomial  $\mathbf{N}I$  but not polynomial  $\mathbf{L}I$ , not even  $\mathbf{L}I$ .

**COROLLARY 12.** *If  $A$  is a polynomial  $\mathbf{J}I$  ring then it is a polynomial  $\mathbf{N}I$  ring.*  
 $\square$

*Remark.* The converse is not true. For example,  $\mathbb{Q}$  is polynomial  $\mathbf{N}I$  but not even  $\mathbf{J}I$ . Moreover,  $\mathbb{Q}$  is not  $\mathbf{G}I$ .

**PROPOSITION 13.** *For a ring  $A$ , the following conditions are equivalent:*

- (i)  $A$  is polynomial  $\mathbf{J}I$ .
- (ii)  $\mathbf{J}(A)$  is absolute nil and, for every  $n$ ,  $\frac{A}{\mathbf{J}(A)}[X_n]$  has no non-zero subring  $S$  such that  $S \in \mathbf{J}$ .
- (iii)  $\mathbf{J}(A)$  is absolute nil and, for every  $n$ , every non-zero subring of  $\frac{A}{\mathbf{J}(A)}[X_n]$  has a non-zero primitive homomorphic image.

*Proof.* (i) and (ii) are equivalent by Theorem 4, conditions (i) and (v).

(ii)  $\iff$  (iii): The Jacobson radical satisfies the Amitsur property, hence  $\mathbf{J}(A[X_n]) = (A \cap \mathbf{J}(A[X_n]))[X_n]$ . Since  $\mathbf{J}(A)$  is absolute nil,  $\mathbf{J}(A)[X_n]$  is also, therefore  $\mathbf{J}(A)[X_n] \subseteq \mathbf{J}(A[X_n])$ . Now, for any radical  $\mathbf{R}$  and any ring  $B$  we have  $\mathbf{R}(B) \supseteq B \cap \mathbf{R}(B[x])$ , repeating this we get  $\mathbf{R}(B[x]) \supseteq B[x] \cap \mathbf{R}(B[x, y])$ , hence  $\mathbf{R}(B) \supseteq B \cap \mathbf{R}(B[x]) \supseteq B \cap B[x] \cap \mathbf{R}(B[x, y]) = B \cap \mathbf{R}(B[x, y])$ , and similarly  $\mathbf{R}(B) \supseteq B \cap \mathbf{R}(B[X_n])$ . Thus in our case we have  $\mathbf{J}(A) \supseteq A \cap \mathbf{J}(A[X_n])$ , whence  $\mathbf{J}(A)[X_n] = \mathbf{J}(A[X_n])$ . Consequently,

$$\frac{A[X_n]}{\mathbf{J}(A[X_n])} = \frac{A[X_n]}{\mathbf{J}(A)[X_n]} \cong \frac{A}{\mathbf{J}(A)}[X_n].$$

The required equivalence follows now from a well-known property of the Jacobson radical: a ring belongs to  $\mathbf{J}$  if and only if it has no non-zero primitive homomorphic image.  $\square$

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