## **0.1** Methods to Calculate $\pi_1(X)$

## 0.1.1 Using deformation retractions

The idea here is that we can get rid of "unnecessary" chunks of space. Unnecessary - from the point of view of calculating the fundamental group. For example, with the cylinder  $\{(x, y, z) | x^2 + y^2 = 1\} \subset \mathbb{R}^3$  it is intuitively clear that the fundamental group is determined by the number of times a loop goes around it and this is preserved if we contract the whole cylinder down to the circle  $\{(x, y, 0) | x^2 + y^2 = 1\}$  - we. So how much a loop varies in the z direction is irrelevant from the point of view of the corresponding element of the fundamental group.

We now want to develop this intuitive idea precisely.

**Definition 0.1.1:** Given  $A \subset X$ , a continuous, surjective map  $r : X \to A$  is called a **retraction** if r(a) = a for all  $a \in A$ . We also say that A is a **retract** of X.

**Remark:** Consider the inclusion map  $i : A \to X$ ,  $i(a) = a \forall a \in A$ . If  $r : X \to A$  is a retraction, we automatically have that,  $r \circ i = \text{Id}_A$ . So, for the induced homomorphisms,  $r_* : \pi_1(X, a_0) \to \pi_1(A, a_0)$  and  $i_* : \pi_1(A, a_0) \to \pi_1(X, a_0)$ , we have  $r_* \circ i_* = 1_{\pi_1(A, a_0)}$ . Thus  $r_*$  has to be onto and  $i_*$  is 1-1.

- **Example 0.1.2:** (1.) Consider the constant map  $r : \mathbb{R}^2 \to \{(0,0)\}$ . This is a retraction onto  $A = \{(0,0)\}$ .
- (2.)  $r : \{(x, y, z) : x^2 + y^2 = 1\} \to S^1 \subset \mathbb{R}^2$  where r is the projection r(x, y, z) = (x, y). Then r is a retraction of the cylinder onto the circle.

**Example 0.1.3:** (a.) Give an example of a continuous map  $f: \overline{D}^2 \to S^1$ 

- (b.) Give an example of a continuous, onto map  $f:\overline{D}^2\to S^1$
- (c.) Give an example of a continuous, onto map  $f:\overline{D}^2\to S^1$ , that keeps  $S^1$  fixed pointwise.

The last question above asks for a retraction  $\overline{D}^2$  onto  $S^1$ . In fact, there is no such map, for if such a map f = r existed, then  $r_* \circ i_* = \mathrm{Id}_{S^1}$ . This would mean that the composition

$$\pi_1(S_1) \xrightarrow{i_*} \pi_1(\bar{D}^2) \xrightarrow{f_*} \pi_1(S^1)$$

should be the identity isomorphism on  $\pi_1(S^1)$ . But  $\pi_1(\bar{D}^2)$  is trivial and  $\pi_1(S^1) \cong \mathbb{Z}$ , so this is impossible.

A very famous consequence of this fact is the **Brouwer fixed point theorem**:

**Theorem 0.1.4:**  $\forall f:\overline{D}^2 \to \overline{D}^2$  continuous maps  $\exists x \in \overline{D}^2$  with f(x) = x.

We proved this in class.

Now, consider the following

**Definition 0.1.5:** *A* is a **deformation retract** of *X* if there exists a homotopy  $H: X \times [0, 1] \to X$  map which is continuous such that

$$H(x,0) = x \quad \forall x \in X,$$
$$H(x,1) \in A \quad \forall x \in X,$$
$$H(a,t) = a \quad \forall t \in [0,1]$$

Note that this definition implies

- for each fixed  $x \in X$ , the mapping  $\alpha : [0,1] \to X$ ,  $\alpha(t) = H(x,t)$  is a path from x to some  $a = H(x,1) \in A$  (so X can be deformed onto A along paths);
- the mapping  $r: X \to A$ , r(x) = H(x, 1) is a retraction of X onto A.

**Example 0.1.6:** 1.  $X = \mathbb{R}^2$  can be deformation retracted onto  $A = \underline{0}$  via

$$H((x, y), t) = (1 - t)(x, y) = ((1 - t)x, (1 - t)y)$$

2.  $X = \mathbb{R}^2$  can be deformation retracted onto A = x-axis= x, 0 via

$$H((x, y), t) = (x, (1 - t)y)$$

3.  $X = \mathbb{R}^3 \setminus \{(0,0)\}$  can be deformation retracted onto  $A = S^2$  via

$$H(\underline{x},t) = (1-t)\underline{x} + t\frac{\underline{x}}{||\underline{x}||}$$

4.  $X = \mathbb{R}^3 \setminus \{z - \text{axis}\}$  can be deformation retracted onto the cylinder  $A = \{(x, y, z) \mid x^2 + y^2 = 1\}$  via

$$H((x, y, z), t) = ((1 - t)x + t\frac{x}{\sqrt{x^2 + y^2}}, (1 - t)y + t\frac{y}{\sqrt{x^2 + y^2}}, z)$$

Finally, we also have the following lemma which shows that if a subset A is a deformation retract of a space X then they have the same, i.e. isomorphic fundamental groups.

**Lemma 0.1.7:** If  $A \subset X$  and  $a_0 \in A$  and A is a deformation retract of X, then

$$\pi_1(X, a_0) \cong \pi_1(A, a_0)$$

*Proof.* We know A is a deformation retract of X. This implies that  $\exists r : X \to A$  retraction such that r(x) = H(x, 1) for some homotopy  $H : X \times [0, 1] \longrightarrow X$  with boundary conditions

 $H(x,0) = x \quad \forall x \in X,$  $H(x,1) \in A \quad \forall x \in X,$  $H(a,t) = a \quad \forall t \in [0,1]$ 

We claim that the induced homomorphism  $r_* : \pi(X, a_0) \to \pi(A, a_0)$  is an isomorphism. Thus we have to show that  $r_*$  is onto and 1-1.

<u> $r_*$  is onto</u>: let  $\langle \alpha \rangle \in \pi(A, a_0)$  is  $\alpha : [0, 1] \to A$  is a loop based at  $a_0$  in A. Consider the inclusion map  $i : A \to X$ . Then  $i \circ \alpha : [0, 1] \to X$  is a loop based at  $a_0$  in X. Note that  $r_*(\langle i \circ \alpha \rangle) = \langle r \circ i \circ \alpha \rangle = \langle \alpha \rangle$ , since  $r \circ i = Id_A$ .

 $\underline{r_* \text{ is } 1-1}$ : First we show the following: let  $\alpha : [0,1] \to X$  be a loop based at  $a_0$  in X. Then  $r \circ \alpha : [0,1] \to A$  is a loop based at  $a_0$  in A and so  $i \circ r \circ \alpha$  is a loop based at  $a_0$  in X.

We have that  $\alpha$  is homotopic to  $i \circ r \circ \alpha$  via  $G : [0,1] \times [0,1] \to X$  where

$$G(s,t) = H(\alpha(s),t)$$

Now, suppose for two loops  $\alpha, \beta : [0,1] \to X$  based at  $a_0$  in A we have

$$r_*(<\alpha>) = r_*(<\beta>).$$

That means, by definition of induced homomorphisms, that

 $< r \circ \alpha > = < r \circ \beta >$ 

 $\mathbf{SO}$ 

$$r \circ \alpha \simeq r \circ \beta \in \text{ in } A.$$

Therefore

$$i \circ r \circ \alpha \simeq i \circ r \circ \beta$$
 in X.

On the other hand, as shown above, we have

$$\alpha \simeq i \circ r \circ \alpha \in \text{ in } X$$

and

 $\beta \simeq i \circ r \circ \beta \in \text{ in } X.$ 

So by transitivity,

 $\alpha \simeq \beta$