

# Characterisation of order types representable by Baire class 1 functions

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joint work with Márton Elekes

# The original question

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## Continuous case

**Theorem.** (Folklore) If  $\mathcal{F} = C(X, \mathbb{R})$  then  $(\mathbb{L}, <)$  representable in  $(\mathcal{F}, <)$  if and only if it is embeddable into  $([0, 1], <)$ .

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Clearly, the map  $f \mapsto \text{subgraph}(f) = \{(x, y) : y \leq f(x)\}$  is an embedding  $(C(X, \mathbb{R}), <) \hookrightarrow (\mathbf{\Pi}_1^0(X \times \mathbb{R}), \subset)$ .

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Map  $F \in \mathbf{\Pi}_1^0(X \times \mathbb{R})$  to  $\sum_{U_n \cap F \neq \emptyset} 3^{-n-1}$ .

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**Theorem.** (Komjáth, 1990) Consistently no: If  $(\mathbb{S}, <)$  is a Suslin line, then  $(\mathbb{S}, <) \not\leftrightarrow (\mathcal{B}_1(X), <)$ .

## A non-characterisation result

**Theorem.** (Elekes, Steprāns, 2006) There exists a linear ordering  $(\mathbb{L}, <)$  so that neither  $\omega_1$  nor  $\omega_1^*$  is embeddable into  $\mathbb{L}$ , but  $(\mathbb{L}, <) \not\rightarrow (\mathcal{B}_1(X), <)$ .

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## The positive direction

**Theorem.** (Elekes, Steprāns, 2006) (MA) If  $|\mathbb{L}| < \mathfrak{c}$  and neither  $\omega_1$  nor  $\omega_1^*$  is embeddable into  $(\mathbb{L}, <)$  then  $(\mathbb{L}, <) \leftrightarrow (\mathcal{B}_1(X), <)$ .

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## Remark on Baire class $\alpha$

**Theorem.** (Komjáth, 1990) If  $\alpha > 1$  the existence of  $\omega_2 \leftrightarrow (\mathcal{B}_\alpha(X), <)$  is already independent of ZFC.

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In fact, there exist  $(\mathcal{B}_1(X), <) \hookrightarrow ([0, 1]_{sd}^{<\omega_1}, <_{altlex})$  and  $([0, 1]_{sd}^{<\omega_1}, <_{altlex}) \hookrightarrow (\mathcal{B}_1(X), <)$ .

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## Ambiguous sets

**Definition.** A set  $A \subset X$  is called ambiguous if it is  $F_\sigma$  and  $G_\delta$ . The collection of ambiguous subsets of  $X$  is denoted by  $\Delta_2^0(X)$ .

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A characteristic function  $\chi_A$  is Baire-1 if and only if  $A \in \Delta_2^0$ .

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## Remark

A characteristic function  $\chi_A$  is Baire-1 if and only if  $A \in \Delta_2^0$ . However, for a Baire-1 function  $f$  the sets  $\{(x, y) : y \leq f(x)\}$  and  $\{(x, y) : y < f(x)\}$  are typically not ambiguous.

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**Theorem.** (Hausdorff, Kuratowski) A set  $A$  is  $\Delta_2^0$  if and only if there exists a strictly decreasing continuous transfinite sequence of closed sets  $(F_\beta)_{\beta < \alpha}$  for some  $\alpha < \omega_1$  so that

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**Proposition.** (Elekes, V.) There exists a function  $\Psi : \mathbf{\Delta}_2^0(X) \rightarrow \mathbf{\Pi}_1^0(X)^{<\omega_1}$  with  $\Psi(A) = (F_\beta)_{\beta < \alpha}$  with the following properties:

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- ② (Weak preservation of inclusion) If  $A \subsetneq A'$  and  $\Psi(A') = (F'_\beta)_{\beta < \alpha'}$  and  $\delta$  is minimal so that  $F_\delta \neq F'_\delta$  then

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Using the embedding  $(\mathfrak{n}_1^0(X), \subset) \hookrightarrow ([0, 1], <)$  we obtain:

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Recall the definition of the universal ordering:

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Let  $\bar{x} = (x_\beta)_{\beta < \alpha}$ ,  $\bar{x}' = (x'_\beta)_{\beta < \alpha'} \in [0, 1]_{sd}^{<\omega_1}$  and let  $\delta$  be minimal so that  $x_\delta \neq x'_\delta$ . We say that  $\bar{x} <_{altlex} \bar{x}' \iff$

$$x_\delta < x'_\delta \text{ if } \delta \text{ is even or } x_\delta > x'_\delta \text{ if } \delta \text{ is odd.}$$

Using the embedding  $(\mathfrak{N}_1^0(X), \subset) \hookrightarrow ([0, 1], <)$  we obtain:

### Concluding result

**Theorem.**  $(\Delta_2^0(X), \subset) \hookrightarrow ([0, 1]_{sd}^{\omega_1}, <_{altlex})$ .

$$(\mathcal{B}_1(X), <) \leftrightarrow ([0, 1]_{sd}^{<\omega_1}, <_{altlex})$$

## Hausdorff analysis for Baire class 1 functions

**Theorem.** (Kechris, Louveau, 1990) Suppose that  $f$  is a bounded nonnegative Baire class 1 function. Then there exists a transfinite, strictly decreasing sequence of nonnegative, upper semi-continuous functions  $(f_\beta)_{\beta < \alpha}$  so that

$$f = \sum_{\beta < \alpha}^* (-1)^\beta f_\beta.$$

Where  $\sum^*$  is the generalized alternating sum.

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## Embedding for Baire class 1

**Theorem.**  $(\mathcal{B}_1(X), <) \hookrightarrow ([0, 1]_{sd}^{<\omega_1}, <_{altlex})$ .

The other direction:  $([0, 1]_{sd}^{<\omega_1}, <_{altlex}) \hookrightarrow (\mathcal{B}_1(X), <)$

**Theorem.** (Elekes, V.) The converse is also true, in fact  $([0, 1]_{sd}^{<\omega_1}, <_{altlex}) \hookrightarrow (\Delta_2^0(X), \subset)$ .

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For  $X$  and  $X'$  uncountable  $\sigma$ -compact spaces it was proved by Elekes that  $(\mathcal{B}_1(X), <) \hookrightarrow (\mathcal{B}_1(X'), <)$ .

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So it was enough to prove that

$([0, 1]_{sd}^{<\omega_1}, <_{altlex}) \hookrightarrow (\mathbf{\Delta}_2^0(\mathcal{K}([0, 1]^2)), \subset)$ .

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- Completions of a representable linearly ordered sets are not necessarily representable.

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**Question.** Does there exist a universal linearly ordered set if  $X$  is only separable metrizable?

Thank you for your attention!